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### 20 General University Policies

#### 20.1 Mission Statement

The mission of the University of Alberta is to serve our community by the dissemination of knowledge through teaching and the discovery of knowledge through research. The mission will be carried out in a select number of fields and professions, to be determined within the context of a province-wide educational system and based upon the highest national and international standards.

# 20.2 Statement on Equity in Student Affairs

The University of Alberta strives to provide a fair, open and supportive environment for students.

Acknowledging the diversity of the Canadian population, and the University's obligation to remain open to all sectors of society, the University of Alberta encourages applications for admission from all qualified persons including Aboriginal peoples, persons with disabilities, visible minorities, and women. In this manner the University demonstrates its commitment to improving the representativeness of its communities.

The Alberta Human Rights, Citizenship and Multiculturalism Act, sections 3 and 11.1, requires that no individual be discriminated against on the basis of race, religious beliefs, color, gender, physical disability, mental disability, marital status, age, ancestry, or place of origin, family status, or source of income except where the discrimination can be shown to be reasonable and justifiable. The University of Alberta recognizes and accepts its responsibility to comply with the requirements of this Act in its consideration of students for admission, promotion, and graduation. Of its own volition the University of Alberta does not discriminate on the basis of sexual orientation or political belief.

Subject to the limits set out in the Alberta Human Rights, Citizenship and Multiculturalism Act, the University of Alberta affirms its right to determine the criteria by which applicants are accepted into the University community. Individuals seeking admission to or continuance in academic programs must meet the qualifications and performance standards set out by the University's governing bodies.

### 20.3 Freedom of Information and Protection of Privacy

On September 1, 1999, the *Freedom of Information and Protection of Privacy Act* came into effect at the University of Alberta. The purpose of this Act is to allow any person a right of access to the records of the University, to control the manner in which the University may collect information from individuals, to control the use that the University may make of that information, to control its disclosure of that information, to allow individuals the right of access to information about themselves, to allow individuals a right to request corrections to their personal information and to provide for an independent review of decisions of the University made under this Act, and the resolution of complaints under this Act.

The University of Alberta creates and collects information for the purposes of admission, registration and other activities directly related to its education programs. All applicants for admission are advised that the information they provide, and any other information placed into the student record, will be protected and used in compliance with the *Freedom of Information and Protection of Privacy Act*.

# 20.4 University Bloodborne Pathogens Policy

The University of Alberta's policy on bloodborne pathogens is designed to limit the possibility of transmission of bloodborne pathogens within the educational setting. The University recognizes, however, that it is not possible to completely eliminate the risk of infection. Concern about limiting the transmission of bloodborne pathogens must be balanced against the University's duty to provide a work, study and living environment which is free from discrimination except where that discrimination can be shown to be reasonable and justifiable in the circumstances.

Students, Academic staff, Non-academic staff and other individuals at the University of Alberta shall observe *Universal Precautions* at all times within the educational setting to lessen their risk of acquiring or transmitting bloodborne pathogens from/to another person. These precautions entail the avoidance of direct contact with the blood, blood products, and other body fluids of another person.

All staff and students who have any exposure of blood and/or body fluids to non-intact skin, a mucous membrane or a needlestick injury during the course of their work or study are required to report that exposure to their supervisors and the Office of Environmental Health and Safety. These individuals are also required to seek medical attention as soon as possible at a medical facility or the University Health Centre.

Further information pertaining to the Health Canada, *Infection Control Guidelines: Preventing the Transmission of Bloodborne Pathogens in Health Care and Public Services Settings* or *Universal Precautions* may be obtained from the Office of Environmental Health and Safety.

For applicants to or students in health care programs where there is a greater potential for transmission of bloodborne pathogens to patients/clients as a result of clinical activities in practice settings, there may be requirements for testing for Hepatitis B and C. Testing may be either a condition of admission or a requirement during the course of a program. All testing will be done through the University Health Centre. Information on any requirements for and timing of testing for particular Faculties, appears in either the Undergraduate Admission section or Program Requirements outlines in the Faculties sections of this Calendar.

An unabridged copy of the Bloodborne Pathogens Policy may be found in §108 of the *General Faculties Policy Manual* and may be obtained from the University Secretariat.

### 20.5 University Patent Policy

By accepting admission, students agree to abide by the provisions of the University of Alberta Patent Policy, as the same may be amended from time to time, with regard to any patentable discoveries or inventions in which students may participate. Acceptance of this policy is a condition of registration in any University program. Copies of the University of Alberta Patent Policy are available from the Industry Liaison Office.

### 20.6 University Standards for the Protection of Human Research Participants

After extensive consultation with each Faculty, General Faculties Council, and the Board of Governors of the University of Alberta have adopted a uniform policy for the ethical treatment of human research participants. This policy applies to all research that involves human participants. The goal of the *University Standards for the Protection of Human Research Participants* is to ensure that the welfare of human participants is protected and that basic rights are observed. The guidelines included in the *University Standards* highlight the obligation of researchers: (1) to minimize risk of harm to participants, (2) to obtain informed consent and cooperation from participants, (3) to respect rights of confidentiality and anonymity, and (4) to conduct research competently. The University's view is that the ethical conduct of research is not inherently in conflict with the free pursuit of research goals.

Each Faculty is charged with the responsibility of reviewing proposed research projects. Ethics Review Committees are not intended to have an adversarial relationship with researchers, but rather to provide consultation and support. The underlying assumption is that people who conduct research with human participants subscribe to ethical research values, but that oversights sometimes occur that can put participants at risk. The purpose of ethics review is to assist in the identification of unintended risk factors and to achieve a

resolution that will permit the research to proceed. Experience demonstrates that this approach will result in research that is both productive and ethically acceptable. Questions about research ethics should be directed to the appropriate Faculty Ethics Review Committee.

#### 20.6.1 **Precis**

All research using human participants comes under the purview of the University Standards for the Protection of Human Research Participants

- whether participants are drawn from University sources or from any other sources:
- (2) whether participants are paid or unpaid;
- (3) whether it is conducted on University property or at any other location;
- (4) whether it is conducted in a laboratory or in the field;
- (5) whether it is conducted in person or by some other means (e.g. mail, telephone, computer link);
- (6) whether information is collected via direct observation, apparatus, questionnaire, interview, or review of records not normally available to the public;
- (7) when it is conducted for teaching and demonstration purposes where students are either the subjects or the researchers.

The term researcher(s) in these *Standards* includes all members of the University, all other persons who advance research as being connected with the University in any way, and all other persons who intend to use University resources in their research (e.g. research space, materials, equipment, student/ staff participants, personnel). For the purpose of these *Standards*, members of the University are all faculty, staff, sessional instructors, administrators, students, visiting or adjunct scholars, fellows and chairs, paid and unpaid research associates and assistants, and any other person in a like position. These *Standards* apply to all persons who meet the above-stated definition, whether they are principal investigators or junior collaborators.

Human participation in research includes the direct or indirect involvement of persons who are the focus of a researcher's inquiry, the use of extant documents and other records and materials (e.g. blood and tissue samples) containing information about persons when the collection and the use of such information could deprive them of their dignity or jeopardize their physical or mental wellbeing. Persons studied on the basis of information contained in newspaper and journal articles, or other public materials, are not deemed to be research participants for the purposes of this policy.

#### 20.6.2 Ethical Guidelines

In those situations where it is difficult to judge the obligation of good scientific enquiry and that of participant protection, formalized rules and regulations cannot be used to resolve the dilemma; instead it is necessary to weigh carefully the values and alternatives. In many cases, decisions reflect a judgement between the expected benefits of the research and the possible effects of the procedures on participants. The purpose of this section is to offer guidelines, rather than definitive rules, to assist in resolving dilemmas.

(1) If research procedures, material or equipment, or the dissemination of results could potentially produce physical or mental harm for the participant, the investigator must assess the magnitude and present justification for it to an appropriate Ethics Review Committee (ERC). Before approving the research, the committee must be satisfied that there is a reasonable expectation that the results will significantly increase understanding or will benefit human health/welfare. As the magnitude of the potential risk increases, it becomes increasingly important that benefits outweigh those risks

Research may be viewed as being ethical when the benefits outweigh the risks and the participants' welfare is safeguarded. In balancing the issues raised by these interrelated considerations, recognition should be given to variations in perceptions regarding ethics from community to community and over time.

An assessment must be made of the degree of risk, minimal or significant, to a participant. A minimal risk is defined as one that is no greater than the risks of everyday life or of routine medical or psychological examinations. A significant risk involves potential physical or psychological harm to the participants or, in some cases, to the groups of which they are members. If the risk is considered greater than minimal, the investigator must decide whether a participant should be exposed to the procedure. If the procedure is to be undertaken, the investigator must inform the participant of the risk before initiating the study. Should adverse effects result from research procedures, the researcher has an obligation to assist

the participant in appreciably reducing or reversing those effects.

The magnitude of the potential benefit of the proposed research must be appraised by an ERC. The evaluation should be based on a global assessment of the degree to which the research might further our understanding of a phenomenon. Researchers are encouraged to make sure that subjects benefit from participation in the research by such means as educational post-briefing.

- (2) Where possible, participants or their surrogates must give fully informed and voluntary consent to participation.
- (3) Where possible, participants must be guaranteed anonymity and their responses treated with confidentiality. Where exceptions must be made, participants must be informed about the degree of anonymity and confidentiality prior to being asked for consent, and such guarantees must be respected.

The investigator must establish a fair agreement with the participant which clearly expresses the participant's respective obligations and responsibilities before the participant decides whether or not to participate. Participants should be informed of the opportunity to withdraw at any time without penalty. The researcher must inform the participant of all aspects of the research that could reasonably be expected to influence the participant's willingness to participate. Any incentive offered to participants must not be so large as to become an undue inducement that would undermine the voluntariness of participation. Captive populations, such as prisoners or patients, must not be offered inducements that would unduly improve their situation or influence their relation to others. If the participants are students and are involved in research as part of their education, they must be given an opportunity to obtain equivalent experiences through alternative procedures. In all cases, the participant should be informed of a person who may be contacted in case of concerns, complaints, or consequences. Research with children or participants who have impairments that would limit understanding requires special safeguarding procedures. Normally, consent from these persons must be obtained and these persons must be informed that they are free at any time to withdraw from participation. In addition to assent from a child (if the child has the capacity to understand) consent must be obtained from parents or guardians of the child (under age of 18 years). However, a child's dissent will always override a parent's or guardian's consent, while a child's assent will never override a parent's or guardian's refusal to grant consent. Consent may not be required from participants when the research involves the use of documents, records, pathological or diagnostic specimens, or data already collected (i.e., secondary analysis) or public behavior. "Public" refers to behavior that occurs in a context in which an individual may expect to be observed, recorded or to provide information that may be released. If anonymity and confidentiality cannot be assured, participants must be made aware of this limitation and of the possible consequences before becoming involved. Any use of secondary data not in the public domain must be in compliance with these guidelines.

- (4) Researchers must be competent in their area of inquiry, and they must be familiar with appropriate ethical guidelines and with participant risks and the possible uses to which the results may be put in order to make responsible decisions. The responsibility includes the awareness of and efforts to avoid discrimination and biases in research practices and in the interpretation of findings (for example, biases related to race and gender). When in doubt about the application of these guidelines, the investigator is encouraged to consult with informed colleagues and supervisors.
- (5) The investigator must ensure that all individuals under the investigator's supervision have the training and competence needed to carry out their responsibilities. Principal investigators must ensure that all research personnel are familiar with the *University Standards for the Protection of Human Research Participants* and with applicable professional guidelines.

Adequate supervision of student research must be ensured, especially where risk or sensitive areas are present. Because the investigator is ultimately responsible, such supervision is imperative. Researchers will ensure that all research assistants and student investigators are familiar with the *University Standards for the Protection of Human Research Participants* and with applicable professional guidelines.

(6) Participants have the following rights: to consent to participate without coercion; to be fully informed about the project, except in special circumstances noted earlier under concealment; to be provided with opportunity to assess risk, including individual risks and individual and societal benefits; to withdraw from the research without penalty or risk of any kind (including loss of agreed-upon monetary reimbursement or other incentives); to be fully informed of the degree of anonymity; to be fully informed during post-briefing when concealment has been used.

### 21 Classification of Students

### 21.1 Categories

#### 21.1.1 Undergraduate and Graduate Students

- (1) Undergraduate students are those who are registered at the University of Alberta in a Faculty other than the Faculty of Graduate Studies and Research or the Faculty of Extension. Undergraduate students may be registered as full- or part-time students.
  - Full-time students are those who are registered for credit in ★9 or more
    in one term.
  - Part-time students are those who are registered for credit in fewer than
- (2) A detailed classification of graduate students will be found in §193.4 of the Graduate Studies and Research section of this Calendar.

#### 21.1.2 Classification of Undergraduate Students

- Degree, Diploma, or Certificate Program Students are those who are registered in a GFC approved program leading to a degree, diploma, or certificate granted by the University of Alberta.
- (2) Probationary Students are students who have been admitted (or permitted to continue) in a Faculty on a probationary basis, because their previous academic record is either deficient in some respect, below the standard ordinarily required, or difficult to assess. Normally, if such students fail to meet the conditions stipulated within the time limit imposed, they will not be allowed to proceed further in their program.
- (3) Special Students are those who have been permitted to register in one or more courses which are not being taken for credit towards a degree or diploma at this or any other institution.
- (4) Open Studies Students are students registered in selected credit courses but who are not following a specific degree or diploma program.
- (5) Visiting Students are bona fide students of other universities or colleges who have been permitted to take one or more courses for transfer of credit to their own institutions which have agreed in advance to such an arrangement.
- (6) Exchange Students: this term is used to describe students who are participating in a formal exchange program between the University of Alberta and another postsecondary institution. Incoming exchange students from other institutions are normally classified as Visiting Students for the period of the exchange. Outgoing University of Alberta exchange students remain classified in their ongoing program and register in an Exchange course (EXCH) for the period of the exchange.
- Audit Only Students (Auditors) are those registered in one or more courses, exclusively on a not-for-credit basis.

#### 21.1.3 Students in the Faculty of Extension

Faculty of Extension students are those registered in any of that Faculty's courses. These courses may or may not lead to degree, diploma, certificate or citation credit

### 21.2 Off-Campus Credit Program

For students living outside the Edmonton area, there are courses offered in the September to April period through the Off-Campus Credit Program at a number of centres throughout Central and Northern Alberta. Included in these are full-time, general first- and second-year programs at Slave Lake, Grouard, St Paul, and Hobbema. These programs are offered in cooperation with Athabasca University

Further information can be obtained by contacting the Special Registrations Office at 492-4601.

### 21.3 Spring/Summer Courses

The University of Alberta offers a wide selection of courses during the Spring Term and Summer Term for the convenience of students who are

unable to attend Fall/Winter courses, and for continuing students who wish to supplement their regular programs. Information about Spring/Summer courses is available in mid-December.

Further information can be obtained by contacting the Special Registrations Office at 492-4601.

### 22 Registration and Fees

### 22.1 Registration

#### 22.1.1 Responsibility for Registration

Students are responsible for familiarizing themselves with the requirements and limitations of their programs as specified in each Faculty section of the Calendar, for ensuring that their programs are properly planned and in accordance with degree specifications, and for the completeness and accuracy of their registration. They are also responsible for adjustments in registration made necessary by changes to their academic status.

Students who, for any reason, fail to maintain any registration in a program for 12 or more consecutive months will be required to reapply and be readmitted to the program in order to resume their registration (see also §12.5). In some programs this requirement may apply to periods of less than 12 months; please consult the Faculty section of this Calendar.

#### 22.1.2 Registration Procedure

The registration period for the Fall/Winter terms begins in mid-March for continuing students and in the beginning of May for conditionally admitted students and confirmed admissions. The registration period for Spring/Summer terms begins in mid-February. Please refer to the Registration and Courses menu at www.registrar.ualberta.ca for detailed registration procedures.

The program in which a student seeks to register is in every case subject to the approval of the Faculty concerned. Students should note that they are required to register in courses in which they are deficient before registering in any other courses. The Faculty Councils reserve the right to adjust courses to meet the needs of timetables of lecture and examinations. Registration in courses which directly conflict on the timetable will only be allowed where the express permission of the Faculty Council concerned has been secured.

#### 22.1.3 Reregistration in Courses

- Students may not repeat any University course passed or courses for which
  they have received transfer credit except for reasons deemed sufficient, and
  verified in writing, by the Dean (or designate) of the Faculty in which they
  are enrolled.
- (2) Students may not reregister for credit or audit more than once in any failed University course, except for reasons deemed sufficient by the Dean (or designate) of the Faculty in which they are enrolled.
- (3) Students may not reregister for credit or audit more than once in any University course in which they have received a final grade of W, except for reasons deemed sufficient by the Dean (or designate) of the Faculty in which they are enrolled.
- (4) In cases where a student contravenes regulations 1, 2, or 3 above, the Dean (or designate) may withhold credit or indicate the course as extra to the degree, on the course registration that contravenes the regulation.
- (5) Students may repeat a Fall Term course in the Winter Term if it is offered in the Winter Term as long as the student complies with regulations 1, 2, and 3 above.
- (6) An undergraduate student who, because of unsatisfactory academic performance, is either required to withdraw, and/or required to repeat a year, and/or put on probation, will retain credit for courses in which grades of D or higher have been attained during the period for which the student's performance was evaluated as unsatisfactory.

Notwithstanding this credit, Faculties may require substitution of other courses in programs in which full course loads are required.

(7) The Faculties of Law, Medicine and Dentistry, and Pharmacy and Pharmaceutical Sciences were granted exemption from (6) above.

#### 22.1.4 Prerequisite Course Requirements

Departments may cancel the registrations of students in courses offered by the departments who do not meet the prerequisite requirements as stated in the course descriptions in this Calendar

Degree credit may be withheld for courses with prerequisite requirements if the prerequisite requirements have not been met or waived in writing.

Where a prerequisite is stated, it is understood that equivalent courses may be used to satisfy the requirement. In addition, the prerequisite requirements may be waived with the written approval of the department that offers the course.

Students who are unsure that they meet the prerequisite requirements in a course, or who wish to obtain permission to have a prerequisite waived, should consult the department offering the course.

#### 22.1.5 Corequisite Course Requirements

Students registering in courses for which a corequisite is listed must also register in the corequisite course or have previously passed the corequisite course. Departments may cancel the registrations of students in courses offered by the departments who do not meet the corequisite requirements as stated in the course description of this Calendar. Degree credit may be withheld for courses with corequisite requirements if the corequisite requirements have not been met or waived in writing.

Where a corequisite is stated, it is understood that equivalent courses may be used to satisfy the requirements. In addition, the corequisite requirements may be waived with the written approval of the Department that offers the course.

Students who are unsure that they meet the corequisite requirements in a course, or who wish to obtain permission to have a corequisite waived, should consult the Department offering the course.

Courses with corequisite requirements may only be used for degree credit if the corequisite requirements have been met or waived in writing.

#### 22.1.6 Confirmation of Registration

Undergraduate students registering in the Fall/Winter Terms are required to confirm their intention to attend by paying one nonrefundable Confirmation Deposit of \$175 in accordance with the instructions in the Registration and Courses menu at www.registrar.ualberta.ca. Students with outstanding accounts must pay the balance of those accounts and an additional \$175.

The Confirmation Deposit is applied to the student's tuition assessment. Students who pay a similar deposit to confirm acceptance of admission to a quota program do not pay an additional Confirmation Deposit. The Registrar will acknowledge the Confirmation of Registration by mailing the student a Class Timetable/Fee Assessment Notice. Students can also view their fee assessment in Bear Tracks (https://www.beartracks.ualberta.ca) for a Registration is Confirmed message.

If a conditionally admitted student pays a Confirmation Deposit and is subsequently denied admission, the University will refund the deposit. Otherwise, the deposit is nonrefundable.

Registrations of undergraduate students who fail to confirm their intent to attend by paying the Confirmation Deposit by the deadline (see §11) will be cancelled, and all courses will be deleted from their registrations. (Students who decide not to attend should delete courses immediately from their registrations to make class space available to other students.) After students pay the deposit, they must delete courses or formally withdraw in order to be eligible for any reduction in their fee assessment.

Students whose registrations are cancelled for non-payment of the Confirmation Deposit may reregister.

Graduate students registering in any term and undergraduate students registering in Spring/Summer Terms are considered to confirm their intention to attend by maintaining a registration. A Confirmation Deposit is not required. These students are accountable for fees unless they withdraw by the appropriate deadline. The Registrar will acknowledge the registration by mailing the student a Class Timetable/Fee Assessment Notice. Students can also view their fee assessment on Bear Tracks (https://www.beartracks.ualberta.ca) for a Registration is Confirmed message.

#### 22.1.7 Late Registration

Students are normally required to complete their registration by the date specified in the Academic Schedule. After the end of the registration period, registration will be permitted only in exceptional circumstances and students may find that the course offerings are limited.

#### 22.1.8 Changes in Registration

Changes of registration may be made by students until the Registration Deadline (see §11). Instructors are not required to allow students to make up any

class material, class time, or lab time they may have missed due to registering in a course after the commencement of classes. After the Registration Deadline, students must first obtain permission from their Dean to add courses or withdraw from them. This permission, in the form of a "Courses to be Added/Deleted Form" or a "Withdrawal Form" signed by the department(s) offering the course(s) and the student's dean, must be presented to the Registrar before the change becomes official. Changes in registration will not normally be approved after the deadlines appearing in the Academic Schedule. Students may not abandon a course. Students will be assigned a grade based on term work completed if they abandon a course without Faculty permission.

#### 22.1.9 Deleting or Withdrawing Courses

Until the Registration Deadline (see §11) students must delete courses using the Bear Tracks web registration system at https://www.beartracks.ualberta.ca if they do not wish to attend.

After the Registration Deadline and until the Withdrawal Deadline (see §11), students may withdraw from courses in person at the Faculty or Department office. See §22.2.9 for the fees implications of withdrawals.

Students contemplating withdrawal are invited to discuss with their Faculty Advisor and/or Student Counselling Services questions relating to their withdrawal decision, readmission, or future vocational plans.

#### **22.1.10** Auditors

The policies outlined in §22 above will also apply to students who wish to audit a course, whether or not they are taking other courses for credit.

Audit Only Students (Auditors) are those registered in one or more courses, exclusively on a not-for-credit basis under the following conditions:

- (1) Since final permission to audit a course cannot be given until it is known that all students registered for credit have been accommodated, registrations as auditors will not be accepted until the eleventh class day of the term and must be submitted prior to the fifteenth class day of the term.
- (2) The Course Audit form can be obtained from the Registrar's Office or at www.registrar.ualberta.ca.
- (3) The permission of the course section instructor must be obtained and the form submitted to the Registrar's Office.
- (4) The Registrar's Office will determine if space exists in the course section and, if space is available, process the registration.

**Note:** Obtaining the instructor's permission does not guarantee registration in the course section if it is determined that the course section is full.

- (5) Courses audited in this manner will not be considered as meeting prerequisite, admission, or course requirements for any University degree program
- (6) Individuals auditing courses shall limit their participation in the class to that deemed appropriate by the instructor.
- (7) The imposition of attendance requirements for auditors is a matter of Faculty self-determination. Auditors should seek clarification of this information from the Faculty offering the course when they register.
- (8) Withdrawal from an audited course will result in a grade of AW, as will failure to attend where attendance is a course requirement. Refer to §11 for withdrawal deadlines.
- (9) Any person who is registered as a full-time or part-time student may also seek permission to audit a course by securing the approval of the instructor and the dean of the student's Faculty.
- (10) Open Studies students (with the exception of students in the Visiting Student Certificate Program, as per §210.6) are not permitted to register as auditors or to change from credit to audit status.

### 22.2 Fees Payment Guide

Fees are approved by the University's Board of Governors and may change without notice. University policy regarding fee regulations, fee rates, and fee deadlines is established by the Board of Governors and is final. Students pay their fees at the rates that are in effect at the time of payment. Fees included in your fee assessment are exempt from the federal Goods and Services Tax (GST). Current fees information is available at www.registrar.ualberta.ca/fees

Please contact the following offices if you have any questions regarding fees:

- (1) Undergraduate fee assessment: Registrar's Office (492-3113)
- Graduate fee assessment: Faculty of Graduate Studies and Research (492-3499)
- (3) Fee payment: Financial Services, Student Receivables (492-3000)

Definitions of the terms used in this section can be found in \$255 the Glossary.

#### 22.2.1 Fall 2005/Winter 2006 Undergraduate Instructional and Noninstructional Fees

Changes in fees for Fall 2006/Winter 2007 were not available at time of printing. Refer to www.registrar.ualberta.ca/fees for 2006-2007 fees information, including Spring and Summer 2006. Students registered in the Faculty of Graduate Studies and Research should consult §22.2.2 and www.ualberta.ca/gradstudies/current for 2006-2007 fees information.

(1) Fall 2005/Winter 2006 Undergraduate Instructional Fees: With few exceptions, tuition fees for undergraduate students are assessed by calculating the cost of each course in which the students are registered for credit, according to the following formula: fee index value x units of fee index. In §221, the units of fee index are shown following the title of each course, and are indicated by fi. The fee index value is a dollar amount that is approved annually by the Board of Governors. The undergraduate fee index for 2005-2006 is \$79.96. Students in Dental Hygiene programs will be assessed at a fee index of \$98.68, which includes the regular Undergraduate fee index plus the Clinical Operations fee. Individual courses taken by students enrolled in the MD and DDS programs, and by some Special Students in the Faculty of Medicine and Dentistry, are assessed using a fee index value of \$133.02.

Audited courses are assessed using the formula "fee index value x units of fee index x 1/2."

#### Fall 2005/Winter 2006 course fees based on a fee index value: \$79.96

Single-term, $\pm 3$ , fi = 6, for credit:	\$79.96 x 6	=	\$479.76
Two-term, $\bigstar$ 6, fi = 12, for credit:	\$79.96 x 12	=	\$959.52
Single-term, $\pm 3$ , fi = 6, audited:	\$79.96 x 6 x 1 / 2	=	\$239.88
Two-term $\bigstar 6$ fi = 12 audited:	\$79.96 x 12 x 1 / 2	=	\$479.76

Fees are assessed by term (see §255 Glossary). A two-term course counts for fee purposes as two single-term courses, one in each term.

There is no maximum fee per term with the following exceptions.

MD program, all years: \$3,377.50/term

DDS program, Years 1, 2 and 3: \$9,091.40/term

DDS. Year 4: \$7.834.08/term

Advanced Placement students in Dentistry are required to pay a Clinical Operations Fee of \$35,652.74 per annum.

International Student Differential Fee: International students are assessed tuition fees at a rate of \$255.86 per unit of fee index. If you become a Permanent Resident before the end of term, the Differential Fee will not be assessed in that and subsequent terms. Please submit proof of your change of immigration status to the Registrar's Office (main floor, Administration Building). Sample fee assessment for International Students are available at www.registrar.ualberta.ca/fees.

International students in the MD, DDS and DH programs, or in individual courses in these programs, are assessed tuition fees of 220% times that of domestic students

**Program Differential Fees:** Students admitted to the following programs as of Fall 2004 will be assessed a Program Differential Fee:

	Full-Time/term	Part-Time/term
Law (LLB)	\$2,000	\$1,000
Medicine (MD)	\$3,000	\$1,500

(2) Fall 2005/Winter 2006 Undergraduate Noninstructional Fee Schedule: Students are assessed Noninstructional Fees per term as shown below; the corresponding privileges conferred are explained in §22.2.13. All of these fees are mandatory for most students; exceptions to Noninstructional Fee payment rules are listed following the table.

For fee purposes, a full-time student is registered for credit in courses with a combined weight of nine or more units of course weight in a term.

Fee	Full-Time/term	Part-Time/term
Registration and Transcript	\$62.74	\$31.37
Student Services	\$46.96	\$23.48
Students' Union Membership	\$29.01	\$15.36
Students' Union Dedicated	\$34.05	\$27.74
Athletics and Recreation	\$53.98	\$26.99
Health Services	\$22.32	\$11.16

**Exceptions to Noninstructional Fee payment regulations:** Optional privileges must be selected prior to the Registration Deadline.

- Auditing students who are not taking courses for credit do not pay Noninstructional Fees and are not granted the corresponding privileges.
- Students registered only in courses designated as off-campus are not assessed the Athletics and Recreation Fee or the Health Services Fee.
   All other fees are mandatory. If an off-campus student wishes to opt

- for Athletics and Recreation or Health Services they must advise the Registrar's Office by the term registration deadline and will be assessed the fees accordingly.
- Off-campus students pay the part-time/term rate for the Student's Union Membership/Dedicated.
- Full-time, on-campus degree program students in the Faculty of Engineering are assessed an additional \$3.73 Student's Union Dedicated Fee towards the Engineering Faculty Association Membership.

#### (3) Fees for Special Programs:

- a. Postgraduate Medical Education Program: Students in the Postgraduate Medical Education Program pay a Program Fee of \$873.16 per year.
- b. Work Experience Courses (Co-op and Internships): Students registered in cooperative work experience programs or work internships will register in courses entitled "Work Experience" (WKEXP). The fees for the work experience program are assessed as instructional fees for the WKEXP courses. For the terms of registration in WKEXP alone, students are also assessed the Registration and Transcript Fee, Student Services Fee, and the Students' Union Fees. Athletics and Recreation and Health Services Fees are optional. If a student registers in other courses additional to WKEXP in a term, normal tuition fee calculations will apply for the total registration.
- Exaculty Student Funds: Students in certain Faculties have voted to be assessed an additional Faculty Student Fund in accordance with the Student's Union bylaws. All students registered in the following Faculties for Fall or Winter Term will be assessed a Faculty Student Fund as indicated:

Engineering: \$25/term Faculté Saint-Jean: \$3/term Law: \$50/term

d. Visiting Student Certificate Program: Refer to §22.2.5 for the yearly fees for this program. Students in this program are assessed Full-time Noninstructional Fees for each term they are assessed instructional fees, whether they are taking courses for credit or audit.

#### (4) Sample Fee Assessment Fall 2005/Winter 2006 Rates:

(For a full-time, Canadian or Permanent Resident student taking five credit courses, each with  $\star 3$  and six fee index units, per term.) Further fee samples, including International Student fee samples are available at www. registrar.ualberta.ca/fees.

	Fall Term	Winter Term	Total
Course Fees	\$2,398.80	\$2,398.80	\$4,797.60
Registration and Transcript	62.74	62.74	125.48
Student Services	46.96	46.96	93.92
Student's Union Membership	29.01	29.01	58.02
Students' Union Dedicated	34.05	34.05	68.10
Athletics and Recreation	53.98	53.98	107.96
Health Services	22.32	22.32	44.64
Total	\$2,647.86	\$2,647.86	\$5,295.72

# 22.2.2 Fall 2005/Winter 2006 Graduate Instructional and Noninstructional Fees

Changes in fees for Fall 2006/Winter 2007 were not available at the time of printing. Refer to www.ualberta.ca/gradstudies/current for 2006–2007 fees information.

 General Information: All graduate students are assessed two types of fees: Instructional fees and Noninstructional fees.

#### (2) How Fees are Assessed:

a. Course Instruction Fees: All graduate students are assessed individual course fees for all courses, projects, and thesis research (except THES 919; see b.). There is no maximum Instruction Fee. Fees for a course taken for credit are normally calculated according to the following formula: fee index value x units of fee index. The fee index value is a dollar amount that is approved annually by the Board of Governors. The graduate fee index value for Fall 2005/Winter 2006 is \$93.76. The units of fee index are shown following the title of each course in §221 (Course Listings), and are indicated by fi. Fees for an audited course are calculated according to the following formula: fee index value x units of fee index x 1/2. Therefore, the Instruction Fee for a course with 6 fee index units taken for credit is \$526.56. The Instruction Fee for a course with 6 fee index units for audit is \$281.28. (Special Graduate Students may not audit courses.)

 Reduced Thesis-Only Fee: to be assessed the Reduced Thesis-Only Fee for a full-time thesis registration, students must meet the following eligibility criteria.

**Doctoral Students:** must be in a noncost-recovery program; must have been registered full-time (minimum of ★9 course/thesis per term) in at least four Fall or Winter terms in their graduate program and have been assessed at least 18 fee index units in each of those terms; and must register in THES 919 instead of THES 909. (Both thesis registrations are equivalent to ★9 in a term.)

**Master's Students:** must be in a noncost-recovery program; must have been registered full-time (minimum of  $\star 9$  course/thesis per term) in at least two Fall or Winter terms in their graduate program and have been assessed at least 18 fee index units in each of those terms; and must register in THES 919, instead of THES 909. (Both thesis registrations are equivalent to  $\star 9$  in a term.)

- c. Extra Course Fees: Fees for courses extra to a degree program are calculated in the same way as fees for courses that are part of a degree program.
- d. Program Differential Fee: Students admitted to the following program in Fall 2004 or Fall 2005 will be assessed a Program Differential Fee. The International Student Differential Fee applies to this Program Differential Fee:

	Full-Time/term	Part-Time/term
Master of Business Administration (MBA)	\$2,500	\$1,250

e. Fall 2005/Winter 2006 Graduate Student Noninstructional Fee Schedule: In addition to Instructional Fees, all graduate students are assessed Noninstructional Fees. The Noninstructional Fees assessed are based upon whether a student is full-time or part-time, and upon whether a student is on-campus or off-campus. Noninstructional Fees differ between Fall/Winter and Spring/Summer.

Students are assessed Noninstructional Fees for each term in which they register. The Fall/Winter assessment rates are shown below. The corresponding privileges conferred are explained in §22.2.13.

Fee	Full-Time/term	Part-Time/term
Registration and Transcript	\$62.74	\$31.37
Student Services	\$46.96	\$23.48
Athletics and Recreation <sup>1</sup>	\$53.98	\$26.99
Health Services <sup>1</sup>	\$22.32	\$11.16
Graduate Students' Association	\$39.06	\$20.81
GSA Dental Plan <sup>2</sup>	\$188.10	N/A
GSA Health Plan <sup>2</sup>	\$118.54	N/A
Maintaining Registration <sup>3</sup>	N/A	\$562.56
Readmission <sup>4</sup>	\$1,237.63	\$1,237.63

- <sup>1</sup> Off-campus students are not assessed this fee.
- <sup>2</sup> GSA Dental Plan Fee and GSA Health Plan Fee: these fees are assessed in Fall Term to all full-time students, both on-campus and off-campus.
- <sup>3</sup> **Maintaining Registration Fee:** Students who wish to keep their program active, but who are not working on courses, projects, or thesis research register in "Maintaining Registration" by registering in M REG 800. Such students are assessed a Maintaining Registration Fee, a Registration and Transcript Fee, a Student Services Fee, and a GSA Fee for each term in which they are so registered.
- <sup>4</sup> **Readmission Fee:** Students who do not keep their programs active as described in §193.3 must reapply and be readmitted before they can resume their program of studies. All readmitted students are assessed a Readmission Fee in addition to other applicable fees.

Full-time graduate students are eligible to become members of the Students' Union by paying the applicable fee. Consult the Faculty of Graduate Studies and Research.

Graduate students who change their registration from full-time to part-time may be eligible for a reassessment of Noninstructional Fees; see §22.2.9. Graduate students who change their registration from part-time to full-time are assessed full Noninstructional Fees.

f. International Student Differential Fees: If you are a graduate student who is not a Canadian Citizen or Permanent Resident, you will be assessed a Differential Fee calculated as an additional 100% of all Instructional Fees and Program Differential Fees assessed. If you become a Permanent Resident (landed immigrant) before the end of a term, the Differential Fee will not be assessed in that and subsequent terms. Please submit proof of your change of immigration status to the Faculty of Graduate Studies and Research (105 Administration Building).

# g. Sample Fee Assessments 2005–2006 Rates: 6 fee index units per term (part-time on-campus)

	Fall Term	Winter Term	Total
Instruction	\$562.56	\$562.56	\$1,291.78
Registration and Transcript	31.37	31.37	62.74
Student Services	23.48	23.48	46.96
Athletics and Recreation	26.99	26.99	53.98
Health Services	11.16	11.16	22.32
Grad Students' Association	20.81	20.81	41.62
Total	\$676.37	\$676.37	\$1,352.74
(Differential 100%)	\$562.56	\$562.56	\$1,125.12
Total	\$1,238.93	\$1,238.93	\$2,477.86

#### 18 fee index units per term (full-time on-campus)

	Fall Term	Winter Term	Total
Instruction	\$1,687.68	\$1,687.68	\$3,375.36
Registration and Transcript	62.74	62.74	125.48
Student Services	46.96	46.96	93.92
Athletics and Recreation	53.98	53.98	107.96
Health Services	22.32	22.32	44.64
GSA Dental Plan	\$188.10	N/A	\$188.10
GSA Health Plan	\$118.54	N/A	\$118.54
Grad Students' Association	39.06	39.06	78.12
Total	\$2,219.38	\$1,912.74	\$4,132.12
(Differential 100%)	\$1,687.68	\$1,687.68	\$3,375.36
Total	\$3.907.06	\$3,600,42	\$7.507.48

#### 30 fee index units per term (full-time on-campus)

	Fall Term	Winter Term	Total
Instruction	\$2,812.80	\$2,812.80	\$5,625.60
Registration and Transcript	62.74	62.74	125.48
Student Services	46.96	46.96	93.92
Athletics and Recreation	53.98	53.98	107.96
Health Services	22.32	22.32	44.64
GSA Dental Plan	\$188.10	N/A	188.10
GSA Health Plan	\$118.54	N/A	118.54
Grad Students' Association	39.06	39.06	78.12
Total	\$3,344.50	\$3,037.86	\$6,382.36
(Differential 100%)	\$2,812.80 <b>\$6.157.30</b>	\$2,812.80 <b>\$5.850.66</b>	\$5,625.60 <b>\$12.007.96</b>

#### Reduced Thesis-Only Fee (full-time on-campus)

	Fall Term	Winter Term	Total
Instruction	\$995.00	\$995.00	\$1,990.00
Registration and Transcript	62.74	62.74	125.48
Student Services	46.96	46.96	93.92
Athletics and Recreation	53.98	53.98	107.96
Health Services	22.32	22.32	44.64
GSA Dental Plan	\$188.10	N/A	188.10
GSA Health Plan	\$118.54	N/A	118.54
Grad Students' Association	39.06	39.06	78.12
Total	\$1,526.70	\$1,220.06	\$2,746.76
(Differential 100%) <b>Total</b>	\$995.00 <b>\$2,521.70</b>	\$995.00 <b>\$2,215.06</b>	\$1,990.00 <b>\$4,736.76</b>

(3) Fees Inquiries: Inquiries concerning graduate student fees assessments and changes in fees related to program or registration changes, should be directed to the Faculty of Graduate Studies and Research.

Inquiries concerning your Statement of Account, account balances, payment methods, fee payment deadlines, instalment charges, and late payment interest charges should be directed to Student Receivables, Financial Services. Questions regarding fee amounts on the Tuition and Education Amounts Certificates (T2202A income tax forms) should also be directed to Student Receivables.

Inquiries about payment of monthly stipends to holders of graduate assistantships and inquiries about payroll deduction of fees from assistantships should be directed to Staff and Student Payments, Human Resource Services. Inquiries regarding fees payments from trust accounts or from Graduate Intern Tuition Supplements should also be directed to Staff and Student Payments.

#### 22.2.3 Miscellaneous Fees 2005-2006

Supplies, equipment, materials, and services which are directly related to the delivery of instruction in a course or program, and which are a mandatory condition of registration, will be accounted for in the Instructional Fees for that course or program. No extra fees to the student will be permitted.

Departments may charge additionally for supplies, equipment, materials and services which are not directly related to the delivery of instruction in a course or program, but which are a mandatory condition of registration only if such charges are approved in advance by the Board of Governors. Such additional Miscellaneous Fees, once approved, shall be listed in the University of Alberta Calendar for the information of students.

#### Miscellaneous Fees that are reported on a student's fee assessment:

**ERSITY OF ALBERTA** 

Course/Program	Fee for	Amount
DRAMA 101	Field trip	\$ 5
EDEL 302	Art supplies	\$ 10
Education/Field Experiences	Practicum placement	\$ 79.96
ENCS 201	Field trip/Audio tape	\$ 10
ENCS 376	Field trip	\$ 10
ENCS 410	Field trip	\$100
ENCS 455	Field trip	\$ 45
ENCS 463	Field trip	\$ 10
ENCS 465	Field trip	\$300-2,800*
ENCS 474	Field trip	\$ 30
ENGG 404	Field trip	\$ 60
ENGG 406	Field trip	\$ 60
Faculté Saint-Jean	Practicum placement	\$ 79.96
FOR 101	Field school	\$ 275-400*
FOR 210	Field trip	\$ 10
FOR 322	Field trip	\$ 15
FOR 323	Field trip	\$ 20
FOR 372	Field trip	\$ 80
FOR 431	Field trip	\$ 20
HECOL 353	Textile Design Supplies	\$120
HECOL 409	Practicum placement	\$ 79.96
HECOL 453	Textile Design Supplies	\$120
MATE 448	Field trip	\$ 50
NUTR 469	Practicum placement	\$250
NUTR 470	Practicum placement	\$250
NUTR 471	Practicum placement	\$250
NUTR 472	Practicum placement	\$250
REN R 120	Field trip	\$ 30
SOILS 420	Field trip	\$ 50
ZOOL 434	Field trip	\$380*
* variable		

### Miscellaneous fees that are assessed and collected by the department directly from the student:

tile student.	the student.	
Course/Program	Fee for	Amount
CMPE 401	Parts Kit	\$20
E E 280	Parts Kit	\$20
E E 340	Parts Kit	\$20
E E 350	Parts Kit	\$20
EAS 110	Field School	\$200-220*
EAS 234	Field School	\$300-350*
EAS 250	Field School	\$100-150*
EAS 333	Field School	\$275-300*
EAS 354	Field school	\$325-375*
ENCS 207	Field school	\$1,125-1,650
FOR 302	Field school	\$375-550*
FOR 303	Field school	\$375-550*
FOR 304	Field school	\$375-550*
INT D 421	Field trip	\$ 50
LIS 536	On-line computer search	\$ 50
PAC 145	Green/range fees	\$ 35
PEDS 205	Equipment Use	\$ 30
PEDS 240	Laboratory Supplies	\$ 40
PEDS 305	Equipment Use	\$ 30
PERLS 452	Field trip	\$ 20
RLS 263	Field trip	\$ 20
RLS 452	Field trip	\$ 20
RLS 462	Field trip	\$ 20
RLS 463	Field trip	\$ 20
SOILS 520	Field trip	\$300*
* variable		

#### 22.2.4 Alternate Delivery Courses 2005-2006

Sections of certain approved courses, as noted below, may be offered in an Alternate Delivery format at an increased rate of fee assessment.

HPS 503 ★3 (fi 11)
HPS 504 ★3 (fi 9)
HPS 603 ★3 (fi 9)
HPS 618 ★3 (fi 9)
HPS 510 ★3 (fi 9)
HPS 512 ★3 (fi 9)
HPS 900 ★3 (fi 9)

#### Alternate Delivery Courses 2005-2006 (cont'd)

EDSE 501 ★3 (fi 9)	M EDU 500 ★3 (fi 9)
EDSE 510 ★3 (fi 9)	M EDU 511 ★3 (fi 9)
EDSE 512 ★3 (fi 9)	M EDU 520 ★3 (fi 9)
EDSE 601 ★3 (fi 9)	M EDU 521 ★3 (fi 9)
FR ED 101 ★3 (fi 6)	M EDU 541 ★3 (fi 9)
FR ED 102 ★3 (fi 6)	M EDU 580 ★3 (fi 9)
FR ED 103 ★3 (fi 6)	M EDU 583 ★3 (fi 9)
FR ED 201 ★3 (fi 6)	M EDU 596 ★3 (fi 9)
FR ED 210 ★3 (fi 6)	M EDU 597 ★3 (fi 9)
FR ED 301 ★3 (fi 6)	NUTR 100 ★3 (fi 9)
HPS 501 ★3 (fi 11)	PERLS 541 ★3 (fi 9)

#### 22.2.5 Cost Recovery Programs or Courses 2005-2006

Programs or sections of certain approved courses, as noted below, may be offered in a Cost Recovery format at an increased rate of fee assessment.

ESL 140	\$1,352.92
ESL 145	\$1,352.92
EdD in Leadership in Curriculum and Instruction (per course)	\$843.84
Executive Master of Business Administration (2 year program)	\$55,000
Faculty of Arts School in Cortona (three courses)	\$3,030.12
Master of Arts in Communications Technology (per year)	\$6,192.48
Master of Business Administration - Fort McMurray (3 year progra	am) \$35,000
Master of Educational Studies	

(Leadership and School Improvement) (2 year program) \$13,000
Master of Science in Internetworking (per course) \$1,750
REHAB 500 \$963
Visiting Student Certificate Program (per year) \$4,895 to \$6,390

#### 22.2.6 Deadlines for Fee Payment

For details on the deadlines for payment of the Confirmation Deposit, Instructional, and Noninstructional Fees, see §11.

To avoid instalment charges, all Fall/Winter fees must be paid by the last weekday in September (the Fall Term Fee Payment Deadline).

If students choose to pay by term:

- Fall Term fees must be paid by the last weekday in September; and
- Winter Term fees, plus a \$40 instalment charge, must be paid by the last weekday in January (the Winter Term Fee Payment Deadline).

To avoid instalment charges, all Spring/Summer fees must be paid by the last day to add or delete courses (the Spring Term Fee Payment Deadline, see §11).

If students choose to pay by term:

- Spring Term fees must be paid by the last day for paying Spring fees;
   and
- Summer Term fees, plus a \$40 instalment charge, must be paid by the last day for paying Summer fees (the Summer Term Fee Payment Deadline).

#### 22.2.7 Penalties for Late Payment of Fees

If fees are not paid by the term fee payment deadline, a late payment penalty of 18% per annum will be applied to the outstanding balance at the end of each month.

For a discussion of the implications of not paying amounts owed to the University, see §23.9.10.

Delinquent accounts will be reported to the Credit Bureau and referred to a collection agency for recovery.

#### 22.2.8 Obtaining and Paying Your Fee Assessment

(1) Obtaining a Fee Assessment: Students enrolled in Fall/Winter or Spring/ Summer are mailed their fee assessment with their Class Timetable Notice. Timetable notices are mailed in August/September for students registered in Fall/Winter. Notices are mailed in December/January for students registered in Winter only. The assessment is printed on the Class Timetable Notice, and is based on the course registrations shown on the timetable. The fee assessment is also available on Bear Tracks (https://www.beartracks. ualberta.ca).

#### (2) Obtaining a Current Account Balance

a. Undergraduate students: It is a student's responsibility to find out the correct amount of fees owing and to pay this amount on or before the fee payment deadline. The account balance can be checked at www. financial.ualberta.ca, or an updated timetable/fee assessment printout can be requested from the Registrar's office. Payments after the fee payment deadline may incur financial penalties (§22.2.7); information on refunds is given in §22.2.9. Registration deadlines are listed in §11. In the event that a revised assessment, following a withdrawal, results in unpaid fees, students are held responsible for payment of the fees in full.

b. Graduate students: One original Fall/Winter Class Timetable Notice/ Fees Assessment is mailed to each student registered in Fall/Winter to the most recent correspondence address provided by the student to the University. The University does not mail revised Class Timetable Notices/Fee Assessments after this point.

One original Spring/Summer Class Timetable Notice/Fees Assessment is mailed to each student registered in Spring/Summer. Class timetables and fee assessments are also available on Bear Tracks (https://www.beartracks.ualberta.ca).

It is a student's responsibility to find out the correct amount of fees owing and to pay this amount on or before the fees payment deadline. Account balances can be checked at www.financial.ualberta.ca, or an updated Class Timetable Notice/Fee Assessment printout can be requested from the Faculty of Graduate Studies and Research. Account balances must be paid by the term fee payment deadline, or a financial penalty will be incurred (§22.2.7).

Deadline dates for fees payments, fees refunds, and withdrawals are found in §11. Information on refunds is available in §22.2.9.

#### (3) Tuition Payment Deadlines

Fees must be received in Financial Services by the payment deadline to avoid late payment penalty charges. Mail delays will not be accepted as a reason for late payment.

The last date for payment of fees for two-term and Fall term-only students is as noted in §11. However, students registered for both Fall and Winter Terms may choose to pay fees in two instalments, one for each term. Fall term fees must be paid by the Fall term deadline and Winter term fees by the Winter term deadline. A \$40 instalment charge is payable with the second instalment.

After the published payment deadline, in cases where late course registration is permitted, payment must be made within 15 days of that late registration.

#### (4) Tuition Payment Options

a. Internet Banking, Telephone Banking, ABM

A student may register the University of Alberta at their financial institution as a bills payable. To sign up for Internet banking, use the Financial Institution's website. Set up the University of Alberta as other bills are set up. The number to use is the seven digit student ID number (e.g., ONEcard account: 01 1234567 equals a student ID of 1234567; Bear Tracks account: W1234567 equals a student ID of: 1234567).

Some Financial Institutions may request an account number that is the seven digit student ID number and the first four letters of the student's last name (e.g., ONEcard: 01 1234567 equals an account number of 1234567LAST; Bear Tracks account: W1234567 equals an account number of 1234567LAST).

Payment can then be made through the Internet, by telephone or at an automated banking machine (ABM)

#### b. Internet Credit Card Payment

Tuition can be paid by Visa, American Express or MasterCard online at www.financial.ualberta.ca by a student or non-student. Contact the credit card issuer prior to payment to ensure the credit limit is adequate to pay the tuition charges.

#### c. Telephone Banking

Telephone banking may be used from home and is available through the Royal Bank, BMO Bank of Montreal, CIBC Scotia, TD/Canada Trust, HSBC, ATB Financial, Canadian Western, Capital City Savings, and other credit unions.

#### d. Payment In Person

Tuition may be paid at either the cashiers or at Student Receivables located on the third floor of the University of Alberta Administration Building. The cashiers accept the following methods of payment: cash, cheque, debit card, Visa, American Express, or MasterCard. A dropbox/mailslot is located on the third floor of the Administration Building. Envelopes are provided. Cheques or money orders must be made payable to the University of Alberta (students are requested to provide their student ID numbers on their cheques). Fee assessment notices must be included with payment.

**Note:** Please ensure the debit card daily/transaction limit is adequate to pay the tuition charges. Contact the bank to increase the debit card limit.

#### e Mail

Tuition may be paid by campus mail by depositing cheque and fee assessment in the special deposit box, available one week before the payment deadline date, on the main floor of the Administration Building. After hours, students can use the campus mailbox located at 114 Street and 89 Avenue.

Tuition payment may be mailed to Student Receivables, Financial Services, University of Alberta, Edmonton, Alberta T6G 2M7.

**Note:** Mail delays will not be accepted as a reason for late payment.

f. Student Loans

Fees will be deducted from student loans at the time of institutional approval. See §22.2.8(7).

(5) Dishonored Cheques: Payment by dishonored cheque is treated as nonpayment of fees. Please refer to §22.2.7 for consequences. In addition to the penalties outlined there, a \$15 charge is assessed for the dishonored cheque itself.

Students will be required to pay by certified cheque or guaranteed funds for any cheque returned due to lack of sufficient funds (NSF) in the account against which the cheque was written.

- (6) Deposits: Students who have paid a deposit to confirm their admission to, or continuation in, a program should deduct this from their fee assessment total
- (7) Student Loans: Alberta students who need financial assistance apply online at Alberta Learning Information Services (http://www.alis.gov. ab.ca/studentsfinance). Application forms for loan assistance from other provincial governments for students from those provinces are available from the Student Financial Aid and Information Centre.

Undergraduate students must be registered full-time for the period of their loan. If your loan covers both terms of Fall/Winter, you must be registered for credit in courses with a combined weight of 9 or more in each term in order to have your loan approved.

Students receiving a student loan must pay a Confirmation Deposit by August 15.

How to Process an Alberta Loan: Students who receive loan assistance through the Students' Finance Board of the Province of Alberta and who have received a Confirmed Certificate of Loan Eligibility may go directly to Edulinx or a participating Canada Post outlet. Students with an Unconfirmed Certificate of Loan Eligibility should bring this form with their Student OneCard to the Financial Services fees wicket on the third floor of the Administration Building or, during early September or January, to the loans area of the Universiade Pavilion.

Students with an Unconfirmed Certificate of Loan Eligibility wishing to pay only Fall tuition and fees must present a Student Finance Board Notice of Assessment indicating further loan disbursements. Without this document, the tuition and fees for the full year will be deducted.

The fees due to the University are the first charge against the loan. If the amount of assistance awarded is less than the full amount of fees, the balance of fees owing is subject to normal fee payment regulations and decellings.

Once the certificate is signed, the loan can be processed by Edulinx or participating Canada Post outlets.

It is the responsibility of students to provide written evidence of their loans to Financial Services as early as possible and prior to the Payment Deadline. Failure to do this may result in cancellation of registration and/or financial penalties.

- (8) How to Process a Loan from Another Provincial Government: some governments send Disbursal documents directly to their students and in other instances to Student Receivables of Financial Services. When you receive notification, please report with the documents you have and your Student OneCard to the Financial Service fees wicket before the Registration Deadline as described in the preceding paragraph.
- (9) Scholarships, Bursaries and Other Financial Assistance: Students whose fees are to be paid by scholarships or other assistance processed through the University, or by Alberta Heritage, Rutherford, or McKinney scholarship funds, should advise Student Awards well before the fee payment deadline of the amount and source of the award. Students whose fees are paid by bursaries should contact the Student Financial Aid and Information Centre. No late payment penalties will be exacted if the funds do not arrive by the fee payment deadline; however, if the award will not cover the full instalment of fees due, the balance must be paid by the normal deadline.

Students who receive direct assistance that is not processed through the University (e.g. a grant from an employer) must follow normal fee payment

- regulations and meet normal deadlines. Confirmation of enrolment will be provided by the Office of the Registrar and Student Awards on request.
- (10) Receipts: The Tuition and Education Amounts Certificate (Form T2202A) is the students' official receipt for income tax purposes. This form can be accessed on Bear Tracks (https://www.beartracks.ualberta.ca). Students who make payments after the production of the certificate will have a revised certificate posted on Bear Tracks. Please note that students are no longer required to present a printed copy of the tax form when filing their tax returns.

Students requiring proof of payment prior to February are encouraged to use their cancelled cheque if possible. Alternatively, a cashier's receipt will be provided at time of payment when paying **in person** at the cashier's wicket of Financial Services. At any other time, Student Receivables of Financial Services will provide proof of payment at the student's request. Please allow up to three weeks for delivery.

#### 22.2.9 Refunds and Withdrawals

#### **Undergraduate and Graduate Students:**

- (1) Refer to §11 for deadline details.
- (2) The Confirmation Deposit is nonrefundable, except in cases where a student has paid the deposit and is subsequently denied admission or is deemed ineligible to continue prior to the start of the current term. See §22.1.6 for detailed information on confirmation of registration.
- (3) If a student drops a course from a registration before the Registration Deadline, no Instructional Fees will be assessed. If the course change results in a registration status change, Noninstructional Fees will also be reassessed accordingly.
- (4) If a student withdraws from a course after the Registration Deadline and up to the Refund Deadline there will be a 50% reduction in the Instructional Fees assessed for that course. If the course change results in a registration status change, Noninstructional Fees will also be reassessed.
- (5) Students who withdraw after the Refund Deadline will not receive any reduction in Instructional and Noninstructional fees assessed.
- (6) Where students have a credit balance as a result of reassessment, Financial Services will issue a refund.
- (7) The effective date of the withdrawal is the day the student successfully withdraws using the Bear Tracks web registration system, or, if withdrawn manually, it is the day the Faculty or Department receives the withdrawal form. For graduate students, it is the day that the Faculty of Graduate Studies and Research receives the withdrawal form. Students who register and then cease to attend, or never attend, without properly withdrawing will not be eligible for any refund of fees nor will they be exempt from paying assessed fees that are unpaid.
- (8) Fees deadlines are firm and are strictly enforced. Students must ensure that they process and confirm any intended withdrawals by the required deadline since ignorance of the deadline or its consequences will not be accepted as an excuse. No special financial consideration is given to students who must withdraw after the deadlines. Students should be aware that when they withdraw from a course at any time after the Registration Deadline, they have effectively occupied a place in the class for the entire term. Since space in classes is limited, an underlying principle of this policy is to ensure that as many opportunities as possible are left open for students seeking to register. The University treats all students in an equitable fashion by adhering strictly to the deadlines published.
- (9) Students are responsible for the completeness and accuracy of their registrations. Students should validate course changes by reviewing their timetable on the Bear Tracks web registration system at https://www. beartracks.ualberta.ca

Changes from credit to audit: If the change is made during the audit registration period (see §11), the assessment for the course will be at the audit rate both for undergraduate students and graduate students.

Partially Paid Accounts: If the full fee assessment for the term has not been paid upon withdrawal or a change from credit to audit, money may still be owed to the University. Account balances can be checked online at www. financial.ualberta.ca or Financial Services can be contacted at (780)492-3000 for an up-to-date Statement of Account.

**Refund Cheques:** Financial Services normally issues refund cheques by mail up to six weeks after the Refund Deadline. If the student account is paid using a credit card, refunds will be returned to the credit card holder's account.

#### 22.2.10 Staff Remissions

University of Alberta staff members are eligible for remission of fees under the terms outlined in their contracts. Remission covers Instructional, Athletics and Recreation fees, and University Health Services fees provided the *Request for Remission of Fees form* is received by Financial Services prior to the term payment deadline. Staff members are responsible for paying all other assessed fees by the payment deadline; otherwise penalties will apply. Books and supplies are the responsibility of the employee.

#### 22.2.11 Third Party Billing

Any student whose fees are paid by another source is considered a third party/sponsored student.

In accordance with §§22.2.8 and 22.2.9 of the *University of Alberta Calendar*, Third Party Sponsors will be expected to adhere to University policies with respect to payment deadlines, late payment penalties, instalment charges, withdrawals and so forth. Individual listing or group listings should be forwarded to Student Receivables, Financial Services, well in advance of the Registration and Confirmation Deposit deadlines. Students are responsible for advising the third party of any changes to the financial record after the initial billing.

If payment is not received by the Payment Deadline, academic and financial penalties will be imposed.

#### 22.2.12 2005-2006 Schedule of Fees for Special Services

The following are fees for special services; the rates for 2005-2006 were not known at the time of printing and are subject to change without notice. Refer to www.registrar.ualberta.ca/fees for 2006-2007 fees.

#### Examination and examination-related fees (see §23.5):

imination and examination-related lees (see \$23.5):			
Deferred examination (§23.5.6)	\$ 39.98/paper		
Reexamination (§23.5.5)	\$119.94/paper		
Examination conducted at an established centre other than Edmonton:			
In Canada	\$131 (additional)/paper		
Outside Canada	\$156 (additional)/paper		
Final examination reappraisal (§23.5.4)	\$79.96/paper		
Credit by special assessment (§14.2.5)	\$239.88/course		

#### Other fees (payable in advance):

Transfer from Co-op to Regular in the Faculty of Busines	ss <sup>1</sup> \$75
Application for Undergraduate Admission (§12)	\$100/form
Application for Undergraduate Readmission or Transfer	(§12) \$75/form
Graduate readmission (§22.2.2)	\$1,237.63
Graduate thesis microfilming	\$40
Health Care Coverage for International Students (UAHIF	) \$50/month
Language Other Than English Proficiency Exam <sup>2</sup>	\$50/exam
Parchment Off-Cycle Printing Fee	\$60
Replacement parchment	\$60
Replacement Student OneCard	\$12
Respiratory Fit-Testing <sup>3</sup>	\$20/test
Security Clearance Check <sup>4</sup> \$30-50	O/check (variable)
¹Administration fee	

<sup>2</sup>For students in the Faculty of Arts to meet the second language requirement.

3Applies to students who are commencing a placement in a facility under Capital Health administration in one of the designated patient care areas and/or in one of the identified disciplines.

\*Students across various Faculties (health science Faculties, Arts, Business and Education) may require a security clearance check as part of their placement or practicum experience with external agencies.

# 22.2.13 Privileges Conferred by Payment of Noninstructional Fees

Additional information and current (2005-2006) rates for these fees are given in §§22.2.1 and 22.2.2.

- (1) Registration and Transcript Fee: Confers to all students the privilege of receiving ongoing services for admission, student records management, academic certification including official transcripts (see §23.9.2), convocation, and the like from the Office of the Registrar and Student Awards, Financial Services, and Faculty offices. This fee is assessed to all students, graduate and undergraduate, full-time and part-time, on-campus and off-campus.
- (2) Student Services Fee: Confers to all students the privilege of receiving ongoing services from the Office of the Dean of Students and associated offices such as Academic Support Centre, Career and Placement Services, Native Student Services, Specialized Support and Disability Services, Sexual

- Assault Centre, Student Counselling Services, Student Financial Aid and Information Centre, and Student OmbudService. This fee is assessed to all students, undergraduate and graduate, full- and part-time, on-campus and off-campus. The fee also entitles students to services from the International Centre.
- (3) Students' Union Membership Fees: This fee confers membership in the Students' Union to all undergraduate students. Membership entitles a student to use all Students' Union facilities and services. These funds are used by the organization to operate various student services (see §25.3), run annual elections, undertake political advocacy initiatives and run a host of programming activities for the students at the University of Alberta. Part of this fee is used to purchase capital equipment for upgrades to the Students' Union Building and the various businesses operated by the Students' Union
- (4) Students' Union Dedicated Fees: Dedicated Fees are composed of ten individual fees created through student votes in campus-wide referenda; each of which is designated for a specific purpose. Some of these are administered internally by the Students' Union, whereas the majority are controlled by independent groups on campus. For further information on all SU fees, contact the Vice President (Operations and Finance), 2-900 SUB (780) 492-4236.
- (5) Graduate Students' Association Fee: Confers the privilege of using services provided to graduate students by the Graduate Students' Association. These include access to lecture grants and travel grants, help with academic appeals, access to inexpensive photocopying and faxing, free OmbudService, a copy of the GSA Handbook, monthly socials, emergency student loans, and many other services. The Graduate Students' Association represents graduate students on University committees and negotiates assistantship regulations on behalf of graduate assistantship holders.
- (6) Graduate Students' Association Dental Plan Fee: covers the cost of enrolment in the GSA Dental Plan for a 12-month period from September 1 through August 31 of the following year. More information, including how to opt in or out of the Plan by the Fall Term registration deadline, or how to enrol if you are a new Winter Term student, is available at www.studentcare. net.
- (7) Graduate Students' Association Health Plan Fee: covers the cost of enrolment in the GSA Health Plan for a 12-month period from September 1 through August 31 of the following year. More information, including how to opt in or out of the Plan by the Fall Term registration deadline, or how to enrol if you are a new Winter Term student, is available at www.studentcare. net.
- (8) Athletics and Recreation Fee: Confers the privileges of using the facilities of the Physical Education and Recreation Centre and of participating in all Athletic Services Programs. This fee is assessed to all students registered in on-campus courses. See also §25.12.
- (9) Health Services Fee: Confers the privilege of using the University Health Centre, which offers a wide range of convenient medical services, including drop-in medical consultations, immunizations, an on-site pharmacy, and reduced costs on prescriptions. This fee is assessed to all students registered in on-campus courses. See also §25.2.

#### 22.2.14 Estimates of Future Fees

At the time of printing there are proposed 2006–2007 fee increases. The Board of Governors has not yet approved the changes to the 2006–2007 fees. The future year estimates provided below reflect proposed fee increases for general tuition based on the current model, possible future changes to the Alberta consumer price index, and the current Government of Alberta Tuition Fee Policy. Future estimates of fees are based on allowable limits under the Tuition Fee Policy and are subject to annual approval by the Board of Governors.

	2006–2007 estimate	2007–2008 estimate	2008-2009 estimate
Increase in instructional fee	6.4%	6.55%	6.25%
Undergraduate fee index value	\$ 85.08	\$ 90.65	\$ 96.32
Cost of ★3 courses in Arts or Science*	\$510.48	\$543.23	\$577.92

\* International student fees include an International Student Differential Fee which could range from 157% to 220% higher than these estimates.

The Student Services Registration and Transcript, Athletics and Recreation, and Health Services fees are indexed to the Consumer Price Index.

Other noninstructional fees are reviewed and adjusted as required on an annual basis by the Board of Governors taking into account changes to the costs of delivering the services associated with the fees.

Students' Union and Graduate Students' Association fees are adjusted as determined by each of the two student associations in accordance with their bylaws.

The University of Alberta reserves the right to increase student fees over and above the estimates noted above. Also note that International student fees may be subject to increases throughout the duration of a student's program.

### 23 Academic Regulations

### 23.1 Changes in Regulations

The University reserves the right to make changes in regulations governing degree programs from time to time.

General Faculties Council (GFC) is the University's senior academic governing body and it is GFC which has approved all the academic regulations which appear in this and other sections of the Calendar. No changes to these regulations may be made without GFC's approval.

#### 23.1.1 Academic Standing Regulations

Changes regarding "academic standing" regulations such as the eligibility to continue in a program, promotion, and graduation requirements, apply to both new and continuing students. All students should annually refer to the current Calendar for appropriate Faculty academic standing regulations.

Any and all grade averages used in making decisions regarding academic standing should be clearly described in the Faculty section of the Calendar; this description is to include the computational rules and the way in which the average will be used in the decision process.

#### 23.1.2 Degree Program Regulations

Unless stated otherwise, changes in degree program requirements (i.e., the required courses specified for a particular program of studies, or the units of course weight required in specified subject areas, or the total units of course weight required in the degree program) apply only to new students and those readmitted to a Faculty. Continuing students should refer to the Calendar in effect at the time they were admitted or readmitted for the regulations governing their degree program requirements.

Where a required course for a degree program is no longer offered, the Faculty may specify an alternate. Students who interrupt their program and who must apply for readmission to the program will be required to comply with any new regulations upon resumption of their studies.

### 23.2 Residence Requirements

A student proceeding towards a first (bachelor's) degree is expected to complete at least half of the credits required through courses offered by the University of Alberta (either "on" or "off" campus in Fall/Winter or Spring/Summer). Normally, at least half of these "University of Alberta" courses will be taken as the final courses in the program. Credits obtained by special assessment at the University of Alberta may be included in the count of courses used to satisfy the residency requirements. (See §14.2.5 Credit by Special Assessment.)

#### 23.2.1 Transfer of Credit

Transfer of credit for students being considered for admission to the University of Alberta is discussed in §14.2.3. Under certain circumstances, students registered in a degree program at the University of Alberta may be permitted to take courses at another recognized postsecondary institution for application to their program at the University of Alberta. Normally, this is accomplished by participating in a formal exchange program or by registering as a Visiting Student at the other institution. To be considered for such transfer credit, students must

- (1) Receive permission in advance from their home Faculty;
- (2) Be in good standing in their ongoing degree program;
- (3) Not have exceeded the maximum amount of transfer credit allowed by the Faculty.

Transfer credit is normally awarded only for approved courses in which a grade of C- (or its equivalent) is achieved. Credit for such courses will be

considered on a credit/no credit basis only and will not normally be included in any grade point average calculation; Faculties may have other requirements. Students should consult their Faculty section of this Calendar.

#### 23.3 Attendance

Since presence at lectures, participation in classroom discussions and projects, and the completion of assignments are important components of most courses, students will serve their interests best by regular attendance. Those who choose not to attend must assume whatever risks are involved. In connection to this students should refer to §23.5.6.

### 23.4 Evaluation Procedures and Grading System

**Important Notification:** Effective September 1, 2003, the University of Alberta implemented a letter grading system with a four-point scale of numerical equivalents for calculating grade point averages. Letter grading scales are more commonly applied throughout North America.

- (1) Weighting of Term Work and Final Examinations: In each course in which a final examination is held, a weight of not less than 30 percent and not more than 70 percent will be assigned to the final examination, except where a departure from this arrangement has been authorized by the council of the Faculty in which the department offering the course is situated. The remaining weight for the course will be assigned to term work
- (2) Course Requirements, Evaluation Procedures and Grading: The policies set out below are intended to provide instructors and their students with general course information. GFC, in approving these guidelines, expected that there would be a common sense approach to their application and understood that circumstances might develop, during a term, where a change to the course outline, as set out in §23.4(2)a, made sense to all concerned. Such changes shall only occur with fair warning or general class consent.

Students concerned about the application of these guidelines should consult, in turn, the instructor, the chair of the department by which the course is offered, and the dean of the faculty in which the course is offered.

- At the beginning of each course, instructors are required by GFC to provide a course outline which must include the following:
  - a statement of the course objectives and general content
  - 2) a list of the required textbooks and other major course materials
  - an indication of how and when students have access to the instructor
  - 4) the distribution of weight between term work and final examination
  - identification of all course activities worth 10% or more of the overall course mark
  - 6) whether marks are given for class participation and other in-class activities as well as the weight of such participation
  - dates of any examination and course assignments with a weight of 10% or more of the overall course mark
  - 8) the manner in which the official University grading system is to be implemented in that particular course or section, i.e., whether a particular distribution is to be used to determine grades, or whether there are absolute measures or marks which will determine them, or whether a combination of the two will be used. Instructors should refer to the University of Alberta Marking and Grading Guidelines.
- Every course outline should contain the following statement: "Policy about course outlines can be found in §23.4(2) of the University Calendar."
- c. Every course outline should contain the following statement: "The University of Alberta is committed to the highest standards of academic integrity and honesty. Students are expected to be familiar with these standards regarding academic honesty and to uphold the policies of the University in this respect. Students are particularly urged to familiarize themselves with the provisions of the Code of Student Behaviour (online at www.ualberta.ca/secretariat/appeals.htm) and avoid any behaviour which could potentially result in suspicions of cheating, plagiarism, misrepresentation of facts and/or participation in an offence. Academic dishonesty is a serious offence and can result in suspension or expulsion from the University."

- d. Nothing in any course outline, syllabus or course web-site may override or contravene any Calendar regulation or GFC policy. In resolving any discrepancy, GFC policy and Calendar regulations will take precedence
- e. Instructors may indicate in the course outline the date, time and place on which the deferred examination for the course will occur, should one be required. See §23.5.6.
- Instructors should discuss with the class their expectations with respect
  to academic honesty issues and outline both permitted and prohibited
  hebayiour
- g. Instructors must follow copyright regulations as established by the University from time to time in the duplication of course material.
- Instructors should allow students a reasonable time in which to complete an assignment, bearing in mind its weight.
- Instructors should mark and return to students with reasonable dispatch all term examinations and, provided the students submit them by the due date, all course projects, assignments, essays, etc.
- j. All projects, assignments, essays, etc. should be returned on or by the last day of classes in the course, with the exception of a final major assignment (which may be due on the last day of classes), which should be returned by the date of the scheduled final examination or, in nonexamination courses, by the last day of the examination period.
- Upon request, instructors are required to provide the method which was used to translate final and, where appropriate, term marks into grades.
- **Missed Term Work:** When a student misses some term work (e.g., classes, labs, assignments, quizzes, etc.) without acceptable excuse, a final grade will be computed using a raw score of zero for the term work missed.

Any student who is incapacitated because of illness, is suffering from severe domestic affliction or has other compelling reasons (including religious conviction) may apply for an excused absence for missed term work. Excused absence for missed term work is a privilege, not a right, and is granted at the discretion of the instructor. Only those students who, because of incapacitating illness, severe domestic affliction or other compelling reasons (including religious conviction) have missed term work are permitted an excused absence.

Missed Term Work Worth 20% or More of the Total Course Work: To apply for an excused absence where the cause is incapacitating illness, a student must present a University of Alberta Medical Statement Form to the instructor within two working days following the scheduled date of the term work missed or as soon as the student is able, having regard to the circumstances underlying the absence. The University of Alberta Medical Statement Form must be signed by the treating physician (e.g., a University of Alberta Health Centre physician, a student's own family physician, a walk-in clinic physician, or an emergency department physician) and indicate that the student was seen while ill or is under continuing care for a chronic illness. In other cases, including domestic affliction or religious conviction, adequate documentation must be provided to substantiate the reason for an absence. The documentation pertaining to the absence must be presented to the instructor within two working days following the scheduled date of the term work missed or as soon as the student is able, having regard to the circumstances underlying the absence. If excusing a student's missed term work, the instructor has the discretion either to waive the term work, or require the student make-up the term work. For a waiver, the percentage weight allotted to the term work missed is added to the percentage weight allotted to the final exam. For the make-up of term work, the student is required to complete equivalent term work as decided by the instructor. If the student does not complete the missed term work as prescribed by the instructor, a raw score of zero will be assigned for the missed term work.

**Note:** The University of Alberta Medical Statement Form may be downloaded from the Online Services section of www.registrar.ualberta. ca, and is available at the University Health Centre. It is important for students to be aware that a Medical Statement Form cannot be provided by the University Health Centre if they were not examined by a physician at the time of their illness.

Missed Term Work Worth Less Than 20% of the Total Course Work: Instructors may use their discretion to request supporting documentation. However, the University Health Centre will not provide a Medical Statement Form. Students must seek an excused absence from the course instructor within two working days following the term work missed or as soon as the student is able having regard to the circumstances underlying the absence. If excusing a student's missed term work, the instructor has the discretion either to waive the term

work, or require the student to make-up the term work. For a waiver, the percentage weight allotted to the term work missed is added to the percentage weight allotted to the final exam. For the make-up of term work, the student is required to complete equivalent term work as decided by the instructor. If the student does not complete the missed term work as prescribed by the instructor, a raw score of zero will be assigned for the missed term work.

#### (4) Procedures for Registering Complaints about Marking, Grading, and Related Issues:

- a. Where the above guidelines have not been followed or where students have concerns about the instructor's teaching, the student should make the concern known to the appropriate individual in the following sequence:
  - 1) Instructor
  - 2) Chair of the department in which the course is taught
  - Dean of the Faculty in which the course is taught (some Faculties have delegated this authority to departments)
- A student needing advice on these matters should see the student advisors in the Office of the Dean of Students.
- c. These procedures do not constitute a mechanism for appeals and grievances regarding the academic standing or individual grades of a student. Appeals and grievances of that nature are dealt with in §23.8 of the Calendar.
- (5) Assigning Grades: Grades reflect judgements of student achievement made by instructors. These judgements are based on a combination of absolute achievement and relative performance in a class. The instructor should mark in terms of raw scores, rank the assignments in order of merit, and, with due attention to the verbal descriptions of the various grades, assign an appropriate letter grade to each assignment.

#### **Course Grades Obtained by Undergraduate Students:**

Descriptor	Letter Grade	Grade Point Value
	A+	4.0
Excellent	Α	4.0
	A-	3.7
	B+	3.3
Good	В	3.0
	B-	2.7
	C+	2.3
Satisfactory	C	2.0
	C-	1.7
Poor	D+	1.3
Minimal Pass	D	1.0
Failure	F or F4	0.0

**Note:** F4 denotes eligibility of a student to apply for a reexamination of a course.

#### **Course Grades Obtained by Graduate Students:**

Descriptor	Letter Grade	Grade Point Value
	A+	4.0
Excellent	Α	4.0
	A-	3.7
	B+	3.3
Good	В	3.0
	B-	2.7
Satisfactory	C+	2.3
	С	2.0
	C-	1.7
Failure	D+	1.3
	D	1.0
	F	0.0

(6) In addition to the grades described above, the University of Alberta currently records the following grades and remarks:

#### **Final Grades**

AE aegrotat standing

AU registered as an auditor

AW registered as an auditor and withdrew

CR completed requirements, no grade point value assigned

EX exempt

IN incomplete

IP course in progress

IP\* withdrew from or failed course in progress

NC failure, no grade point value assigned

W withdrew with permission

#### Remarks

grade includes a mark of '0' for final examination missed, or for term

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- grade includes a mark of '0' for final examination missed, or for term work missed, or both, and deferred final examination granted
- credit withheld
- reexamination granted
- failure (assigned to failing Graduate Student grades only)
- failure, grade includes a mark of '0' for final examination missed, or for term work missed, or both (assigned to failing Graduate Student grades
- 7 failure, grade includes a mark of '0' for final examination missed, or for term work missed, or both, and deferred final examination granted (assigned to failing Graduate Student grades only)
- disciplinary sanction for serious instances of inappropriate academic behavior
- failure, disciplinary sanction for serious instances of inappropriate academic behavior (assigned in the same circumstances as the remark of 8 but to failing Graduate Student grades only)
- (7) Grade Point Average (GPA): All courses have been assigned an appropriate weighting factor, which along with a student's grade point values, enables the Registrar's Office or the Dean's office to compute the Grade Point Average.

The Grade Point Average (GPA) is a measure of a student's weighted average, obtained by dividing the total number of grade points earned by the total units of course weight attempted.

#### **Rules for Computing the GPA**

- a. The GPA for any period is based on the final grades, including failing grades in all courses taken during a specified period.
- GPAs are calculated according to the following formula: GPA = sum of [grade point value x units of course weight] sum of units of course

GPA = sum of [grade point value x units of course weight]

sum of units of course weight

- A reexamination mark or a deferred examination mark replaces the original final examination mark. The revised final grade is included in the computation of the GPA.
- d. When a student has a deferred, incomplete or a missing grade, the GPA is not computed until a final grade is reported.
- Grades of IN5 are counted as numeric grades of 0.00 in the computation of any GPA.
- Grades of W (withdrew) are excluded from the computation of the
- g. Grades of CR and NC are not included in the computation of any GPA.
- h. Any GPA is rounded to the nearest decimal place using standard rounding rules - that is it is rounded up with a value of 5 or greater in the first nonsignificant place and rounded down with a value of 4 or less in the first nonsignificant place

Types of Grade Point Averages: Faculties may use different averages for various decisions regarding academic standing, promotions, and graduation, as long as these have been approved by General Faculties Council. Such averages are descriptively labelled.

- Admission Grade Point Averages (AGPA) see §14.2.1.
- Fall/Winter and/or Spring/Summer Grade Point Averages are reported on transcripts and is used by most Faculties to determine academic standing.
- c. Term Grade Point Average may be calculated at the end of Fall, Winter, Spring or Summer, and used to determine eligibility for reexamination (see §23.5.5).
- d. Promotion and Graduation Grade Point Averages are Faculty specific and are defined in the Faculty sections of the Calendar.
- e. Faculty Grade Point Average (FGPA): The Faculty Grade Point Average (FGPA) is a cumulative measure of a student's grade points obtained while registered in a Faculty in all years and terms, including Spring/Summer. FGPA is a weighted average obtained by dividing the total grade points earned by the total units of course weight attempted. The FGPA is currently used by the Faculty of Arts.

#### **Rules for Computing the FGPA**

1) The rules related to calculation of GPA [see §23.4(7)] also apply to the calculation of FGPA.

- 2) The initial assessment and any subsequent reassessment of academic standing using FGPA is based on a student's performance in a minimum of nine units of course weight (\*\*9). If, at the time of review, the student has attempted less than ★9 since the last assessment while registered in the Faculty, the assessment will be deferred until the next assessment period.
- 3) Assessments are performed at the end of a student's registration in Fall/Winter (or at the end of a student's program) and are based upon the final grades in all courses taken in that and prior periods while registered in the Faculty. At the discretion of the faculty an assessment may also be performed at the end of a student's registration in Spring/Summer.
- 4) If a student is required to withdraw and subsequently allowed to continue (after a successful appeal or after successfully completing required work at another institution) the FGPA will be calculated from the term in which readmission or continuation is granted, not from the time of first admission into the Faculty. Such a restart of FGPA will be allowed only once for any student in a Faculty.
- Students continuing in a Faculty following a restarted FGPA as described above must thereafter maintain a minimum FGPA of 2.0.
- (8) Aegrotat Standing: Aegrotat standing may be granted, in special circumstances, on the grounds of illness to deserving students who have completed at least one year at the University of Alberta in the Faculty in which they are currently registered. Any student wishing to take advantage of this privilege should apply without delay to the dean of the Faculty concerned. Any other student absent from a final examination because of illness may apply for permission to write the regular deferred examination.
- Competence in Written Work: General Faculties Council urges all instructors of University undergraduate courses to provide that suitable portions of course testing or other course work should be in the form of written essay responses and to emphasize to students that competence in written work is integral to competence in a subject and will constitute part of the basis on which the student's course grade is determined.

All instructors should make clear at the outset of each course their expectations in regard to the standard and importance of writing in assignments and examinations. Refer to §25.2 for information on Effective Writing Resources.

(10) Official Grades: Student grades are unofficial until they have been approved by the appropriate Faculty Council at the end of Fall/Winter or Spring/Summer. Prior to approval by Faculty Council, unofficial grades are reported on transcripts and so noted. Students can generally expect that official grades will be available in early June for Fall/Winter, and early September for Spring/Summer. Students obtain their Statement of Results on Bear Tracks (https://www.beartracks.ualberta.ca) following the approval

#### 23.5 **Examinations (Exams)**

#### 23.5.1 Conduct of Exams

Note: Conduct which violates the rules of conduct for exams may also violate the Code of Student Behavior, §30 of the GFC Policy Manual.

In this section, "term exam" is used to refer to any written or oral exam, test or quiz (other than a final exam) which is scheduled as part of the term work of

- (1) Student Identification: Students writing exams are required to confirm their identities by providing their student ID numbers and signatures and by presenting their student ID cards (OneCard) or other acceptable photo identification. Students who are unable to present satisfactory identification at the time of the exam will be permitted to write the exam, but will be required to present themselves with acceptable photo identification to the instructor of the course within two working days of the exam and may be required to provide a sample of their handwriting. Failure to provide proper identification will result in a mark of zero for the exam. Instructors are advised to circulate an attendance sheet at all exams.
- Permitted References and Aids: Only those items specifically authorized by the instructor may be brought into the exam facility. The use of unauthorized personal listening, communication, recording, photographic and/or computational devices is strictly prohibited.
- **Registration:** Students may not be present in an exam or write an exam in a course section in which they are not registered.

- (4) Arriving and Leaving: Students may not enter the exam area after the first half-hour has elapsed, and they may not leave the exam area until one halfhour has elapsed.
- 5) Communications: During the exam, all communications should be addressed to a supervisor. Students should not, under any circumstances, speak to or communicate with other candidates or leave their answer papers exposed to view.
- (6) Brief Absence from an Exam: Students who need to visit the washroom during an exam must leave their papers in the custody of a supervisor and retrieve it upon return.
  - Decause of illness or receives word of domestic affliction during the course of an exam, he or she should report at once to the Supervisor, hand in the unfinished paper, and request that it be cancelled. Thereafter, if illness is the cause, the student must go directly to the University Health Centre so that any subsequent application for a deferred exam may be supported by a medical certificate. In cases other than illness, adequate documentation must be provided. For final exams, the student must provide documentation and apply to their Faculty for a deferred exam within two working days following the cancelled final exam. See §23.5.6 for details. For other exams, the student must provide documentation to the course instructor within two working days of the exam. Should a student write an exam, hand in the paper for marking, and later report extenuating circumstances to support a request for cancellation of the paper and for another exam, such request will not be entertained.
- (8) End of Exam: When the signal is given to end the exam, students shall promptly hand in their exam papers and answer sheets to the exam supervisor.

#### 23.5.2 Term Examinations

- Term Examinations Optional: The holding of term examinations is optional within each department.
- (2) Instructors may schedule term examinations during any regularly scheduled class period. In certain cases an instructor may schedule a term examination outside or beyond the regularly scheduled class period. Instructors should be aware that by doing so, conflicts may result for some students that have another class at the time of the scheduled term examinations. Students have the right to attend regularly scheduled class activities. Therefore, if a student has a conflict between a regularly scheduled class and a scheduled term examination, the instructor of the class in which there was a scheduled term examination will be required to make an accommodation for the student.

#### 23.5.3 Final Examinations

There is no requirement by General Faculties Council that the final examination must be written in order to obtain credit: Faculties are permitted to make their own regulations in this regard.

- (1) Final Examinations: A final examination is held in each course except where departure from this arrangement has been authorized by the Faculty council governing the department offering the course. Final examinations for Fall courses shall be held in December. Final examinations for Winter or two-term Fall/Winter courses shall be held in April. Final examinations for Spring courses shall be held in June. Final examinations for Summer or two-term Spring/Summer courses shall be held in August. Examinations for Evening Credit Program and Late Afternoon and Evening Courses are to be held during the last regular class period.
- (2) Examination Schedule: The schedule of Fall and Winter Terms final examinations (and mid-term examinations in two-term courses) shall be related directly to the basic University timetable.

In the Faculty of Education, for those Faculty courses which form part of a professional term and hence do not conform to the standard timetable, the scheduling of the final examinations shall become the responsibility of the Faculty

In the MBA program final examinations in all evening courses shall be scheduled into the week immediately following the last week of classes in each term in order to allow for equal instructional hours in the full- and part-time programs.

Final examinations or mid-term examinations in two-term courses shall not exceed three hours in length

The Examination Schedule shall be adhered to and no attempt made to adjust examination schedules to accommodate students who have failed a course and are repeating without attendance.

Normally there shall be no departure from the official Final Examination Schedule. Instructors and students may, however, petition the Faculty Council concerned for permission to depart from the Schedule if justified reasons can be established. No departures shall be considered for approval unless the instructor of the class concerned and every student registered for credit in the class have given their written consent. Such consent shall take the form of either written letters or signed and dated forms made available from the Dean's office. These notices of consent shall be conveyed to the Dean of the Faculty offering the course on an individual basis at least one month prior to the new dates being requested. If and when unanimous consent is received from the class, this fact shall be communicated to both the instructor involved and the Faculty Council. All such notices of consent shall be received by the Dean, in confidence, and it will not be the Dean's responsibility to insure that all members of the class have been informed of the need to submit such written consents. This will be the responsibility of the Instructor or of those members of the class who have initiated the request for a change in the examination date.

The requirement that notices of consent for departures from the official Final Examination Schedule shall be conveyed to the Dean at least **one month** prior to the new date being requested, shall be rigidly enforced.

The rescheduling of examinations or tests in the final week of classes is not permitted.

- (3) Where a final examination has been scheduled, students registered in the course may not be debarred from writing that final examination.
- (4) Marking of Papers: Departments and instructors shall be informed that the marking of examinations be given first priority in order to expedite the submission of *Grade Report Forms* to the Registrar.

No member of a Department shall be permitted to go away taking the original examinations papers with him or her for marking without permission of the unit head. In a case where a member of a Department applies for leave before the end of term, this will only be granted on condition that the head of the Department arranges for the papers to be read here in the usual way.

All examinations and assignments shall be marked only by the instructor(s) or by persons expressly authorized by the Department Chair (or by the Dean in non-departmentalized Faculties) to mark them.

(5) The following discretionary policy on student access to final examination papers was affirmed by General Faculties Council:

Departments subscribing to the belief that there is educational value in permitting students to see their final examination papers after they have been marked are not only at liberty, but are encouraged, to make the papers available on request, and to allow for discussion where possible; that the objective here is an educational end: i.e., it is **not** a substitute for existing reappraisal procedures for the reconciliation of grades; that where the department is prepared to grant outright release of the paper it must not do so until the deadline for applications for reappraisal has passed, since an appeal cannot be entertained after the custody of the paper has been relinquished to the student.

(6) Grades of Incomplete: The grade of "incomplete" (IN) is normally awarded when an undergraduate student is prevented by illness, domestic affliction, or other extreme circumstance from submitting an assignment by the end of term. When a grade of IN is awarded, the student is required to submit the assignment within 10 days after the end of the final examination period of the term for the course. At the request of the Department Chair concerned, the student's Dean may grant an extension of time to a maximum of four months after the end of the term. If the assignment is not submitted by the prescribed deadline, the Registrar will record a grade of "NC" or a grade of "F" plus a remark of "1", as appropriate. Before finalizing the student's grade the Office of the Registrar and Student Awards will give 30 days written notice to the student's Faculty and to the Department in which the course is offered.

#### 23.5.4 Notification of Results

(1) Release of Grades: Only the Registrar's Office is authorized to issue official statements of results or transcripts. An official statement of final grades in courses for each Fall/Winter and Spring/Summer period is available on Bear Tracks (https://www.beartracks.ualberta.ca). Official statements are provided at the following times:

Students registered in Fall Term only Fall/Winter Terms

Spring Term only Spring/Summer Terms early July late August/early September

statement mailed

early February

late May

Departments shall forward grades to the Office of the Registrar and Student Awards within five working days of the final exam, or (for courses with no final exam) within five working days of the due date for the final assignment. (For the Faculty of Law grades should be reported to the Registrar no later than the third day of classes in the Winter Term for Fall Term courses, or May 31 for Winter Term or Fall/Winter courses.) Allowing for processing time in the Registrar's Office, grades should be available to students within eight working days. For the Faculty of Medicine and Dentistry's DDS, Dental Hygiene and MD programs, grades will be released within 10 working days of the end of the exam period for the program.

**Unofficial** final course grades are available to students on *Bear Tracks* (https://www.beartracks.ualberta.ca) after the approved *Grade Report Form* is received by the Office of the Registrar and Student Awards. Grades for courses that are completed in the first half of the term are available midterm.

Students should consult the Registrar's website at www.registrar. ualberta.ca for information on how to obtain their grades on *Bear Tracks*.

Departments may, but are not required to, post unofficial results in alternate formats, provided the confidentiality of the students' records is preserved in accordance with the *Freedom of Information and Protection of Privacy Act*. This precludes incorporating names or, potentially, information such as Faculty, degree, or year in program if the identity of the student can be deduced from this information. Because it is very difficult to protect student confidentiality in small classes (less than 25 students), departments should refrain from posting grades for these courses.

(2) Reappraisals: Since great care is taken in marking final examination papers, a student should apply for reappraisal of a paper only if he or she has good reason to believe that a mistake has been made. Reappraisals are dealt with by the Chair of the department in consultation with members of the staff. A request for reappraisal must be made in writing to the Faculty or Department Office responsible for the course by February 1 in the case of Fall courses, by June 22 for other Fall/Winter courses, and within thirty days of the publication of results for courses offered in Spring/Summer. The request must include a statement of whether the intent of the appeal is to raise or lower the grade. If a student fails to include such a statement it will be assumed that the intent is to have the grade raised. Refer to \$22.2.8 for details on where to pay fees and to \$22.2.12 for fees for special services. Payment of the required fee will normally be made at the time of the request but must be made no later than two weeks after submission of the request; the fee will be refunded if the appeal is successful.

The privilege of having papers reappraised applies only to final examinations. A student may apply for reappraisal of no more than two final examination papers in each term of the Fall/Winter. A student enrolled in the Spring/Summer will be limited to two reappraisals.

It should be understood that if the grade is changed, the new grade, whether higher or lower than the original, replaces it as the student's official grade in the course.

#### 23.5.5 Reexaminations

- (1) Undergraduate students who have written and submitted a final examination may be considered for a reexamination provided the following conditions are met. Students in Medicine and Dentistry should consult §113.2 and the Faculty Office for these regulations. Students in Business should consult §63.5(7). Students in Science should consult §182.5.9. Reexaminations are not permitted for graduate students.
  - a. The course was failed.
  - b. The final examination is 40 percent or greater, as originally scheduled for the class as a whole.
  - c. For a Fall-Term course, the student achieved a Term Grade Point Average of 2.0 inclusive of the failed course. For Winter-Term and Fall/ Winter courses, a Fall/Winter GPA of 2.0 inclusive of the failed course.
  - d. Students are advised that it may not be possible to make a ruling until all grades for a term or two-term period are recorded. Students for whom a term GPA cannot be computed at the end of the Fall Term will have to wait for the computation of a GPA following the Winter Term. In this case, the Application for Reexamination form should be left with the Faculty office for a later ruling. Faculty of Law students in the first and second year will be considered for a reexamination on the basis of the computation of their Fall/Winter GPA.

#### (2) Reexaminations are Not Permitted:

a. For students who were granted a deferred final examination in accordance with §23.5.6 but did not write.

- Dentistry and Dental Hygiene students: In clinical and laboratory courses and for students repeating a year.
- Faculty of Nursing: For students who have failed the clinical/laboratory component of a Nursing course and for students repeating a year.
- Faculty of Rehabilitation Medicine: In clinical courses and for students repeating a year.
- e. Faculty of Graduate Studies and Research.
- f. Medical Students: For students repeating a year.
- g. Faculty of Pharmacy and Pharmaceutical Sciences: A student on probation is not allowed reexamination privileges.
- (3) Reexamination Mark: The mark received for the reexamination replaces the original final examination mark and is used in computing the final grade in the course.
- (4) Weight of Reexamination: The percentage of the final grade allotted to the reexamination shall be the same as the percentage of the final grade allotted to the student's final examination in the course.
- (5) Number of Reexaminations that May be Granted: Reexamination may be granted in one course only, regardless of the units of course weight, in a Fall/Winter or Spring/Summer period. Reexaminations may be granted in courses to a total of ★12 while the student is enrolled in a Faculty (Dentistry and Dental Hygiene students see Note 2).
- (6) Reexamination Deadlines:

#### a. Fall Term Courses:

Apply: Within 10 days of the posting of the results. Exam to be held by: End of Reading Week (see §11)

#### b. Winter Term and Fall/Winter Courses:

Apply: Within 10 days of the posting of the results. Exam to be held by: June 30 (see §11)

#### Spring/Summer Courses:

Apply: Within 10 days of the posting of the results.

Exam to be held by: August 31\*

\*Exam to be held by October 20 for students taking 13-week classes in Engineering programs.

#### d. Special Faculty Provisions:

Faculty of Law: See Note (3) below

Dentistry and Dental Hygiene: See Note (2) below and consult the Department.

Medicine: Consult the Faculty Office.

- (7) Procedures to Apply for a Reexamination: Students who wish to apply for a reexamination should:
  - ensure that they meet the eligibility criteria for application noted above.
  - complete an application form (available at the Faculty or Department responsible for the course).
  - have the application for reexamination approved by the Faculty or Department offering the course.
  - d. the Dean or delegate of the student's Faculty must also give final approval of the application.

Refer §22.2.8 for details on where to pay fees and to §22.2.12 for fees for special services. Payment of the required fee will normally be made at the time of approval of the application but must be made no later than two weeks after approval. Once approval has been granted reexaminations are to be written at a time and place agreed upon by the instructor and the students concerned. Dentistry students write in July. Normally, students in the Faculty of Law write in June. Refer to the Academic Schedule (§11) for the applicable dates.

#### Notes:

- (1) Science Students: Registrants in the BSc degree programs or Special Certificate programs in the Faculty of Science who fail to meet the graduation GPA may be granted a reexamination in a passed or failed Science course taken in the final Fall/Winter or Spring/Summer (last ★30 or less) provided the maximum number of reexaminations (★12) has not be previously taken.
- (2) Dentistry Students: Students in the Dentistry program should consult §§113.2.1(6), 113.2.2(6) or the Office of the Associate Dean, 3036 Dentistry Pharmacy Centre, for regulations concerning reexaminations. Dental Hygiene Students: Students in the Dental Hygiene program should consult §113.2.3(7) or the Office of the Associate Dean, 3036 Dentistry Pharmacy Centre, for regulations concerning reexaminations.

#### (3) Law Students:

- Reexaminations shall be taken only in June except under the circumstances as described in b. below. The deadline for application is May 31.
- b. Where a student fails one course in the Fall Term of their final year, the student may write one reexamination at a time before June, provided that the student attains a GPA of no less than 2.0, calculated on the basis of final grades obtained in Fall Term. The deadline for application is February 2. The rules governing the times for setting of deferred examinations in §23.5.6(3) shall apply to reexaminations written in accordance with this section.

#### 23.5.6 Absence from Exams

When a student is absent from a term or final exam without acceptable excuse, a final grade will be computed using a raw score of zero for the exam missed.

Any student who is incapacitated because of illness, is suffering from severe domestic affliction or has other compelling reasons (including religious conviction) is advised not to sit for an exam. In such cases a student may apply for an excused absence for the missed exam. Excused absence for a missed exam is a privilege, not a right, and is granted at the discretion of the instructor (in the case of term exams) or the Faculty (in the case of final exams). Only those students who, because of incapacitating illness, severe domestic affliction or other compelling reasons (including religious conviction) have missed an exam are permitted an excused absence.

(1) a. Missed Term Exams Worth 20% or More of Total Course Work: To apply for an excused absence where the cause is incapacitating illness, a student must present a University of Alberta Medical Statement Form to the instructor within two working days following the missed term exam or as soon as the student is able, having regard to the circumstances underlying the absence. The University of Alberta Medical Statement Form must be signed by the treating physician (e.g., a University of Alberta Health Centre physician, a student's own family physician, a walk-in clinic physician, or an emergency department physician) and indicate that the student was seen while ill or is under continuing care for a chronic illness. In other cases, including domestic affliction or religious conviction, adequate documentation must be provided to substantiate the reason for an absence. The documentation pertaining to the absence must be presented to the instructor within two working days following the missed term exam or as soon as the student is able, having regard to the circumstances underlying the absence. If excusing a student's absence from a term exam, the instructor has the discretion either to waive the term exam, or require the student to write a make-up exam. For a waiver, the percentage weight allotted to the term exam missed is added to the percentage weight allotted to the final exam. For a make-up exam, the student is required to write an equivalent exam at a time set by the instructor. If the student does not write the assigned make-up exam at the prescribed time, a raw score of zero will be assigned for the missed term exam.

**Note:** The University of Alberta Medical Statement Form may be downloaded from the Online Services section of www.registrar.ualberta. ca, and is available at the University Health Centre. It is important for students to be aware that a Medical Statement Form cannot be provided by the University Health Centre if they were not examined by a physician at the time of their illness.

- b. Missed Term Exams Worth Less Than 20% of the Total Course Work: Instructors may use their discretion to request supporting documentation. However, the University Health Centre will not provide a Medical Statement Form. Students must seek an excused absence from the course instructor within two working days following the term exam missed or as soon as the student is able, having regard to the circumstances underlying the absence. If excusing a student's absence from a term exam, the instructor has the discretion either to waive the term exam, or require the student to write a make-up exam. For a waiver, the percentage weight allotted to the term exam missed is added to the percentage weight allotted to the final exam. For a make-up exam, the student is required to write an equivalent exam at a time set by the instructor. If the student does not write the assigned make-up exam at the prescribed time, a raw score of zero will be assigned for the missed term exam.
- (2) Missed Final Exams: A student who has missed a final exam because of incapacitating illness, severe domestic affliction or other compelling reason (including religious conviction) may apply for a deferred exam.

A deferred exam will not be approved if a student

- has not been in regular attendance where attendance and/or participation are required, and/or,
- excluding the final exam, has completed less than half of the assigned work.

Students with two or more deferred exams outstanding from a previous term may be required to reduce the number of courses in which they are registered.

a. Students needing to apply for a deferred exam must present themselves at their Faculty Office. Such an application must be supported by a University of Alberta Medical Statement form in the case of incapacitating illness. The University of Alberta Medical Statement Form must be signed by the treating physician (e.g, a University of Alberta Health Centre physician, a student's own family physician, a walk-in clinic physician, or an emergency department physician) and indicate that the student was seen while ill or is under continuing care for a chronic illness. In other cases, including severe domestic affliction or religious conviction, adequate documentation must be provided to substantiate the reason for an absence. The application and the documentation pertaining to the absence must be presented to the Faculty within two working days following the scheduled date of the exam missed or as soon as the student is able, having regard to the circumstances underlying the absence.

**Note:** The University of Alberta Medical Statement Form may be downloaded from the Online Services section of www.registrar.ualberta. ca, and is available at the University Health Centre. It is important for students to be aware that Medical Statement Forms cannot be provided by the University Health Centre if they were not examined by a physician at the time of their illness.

- The student must seek the approval of the dean or designate of the student's Faculty on the application for a deferred final exam;
- c. In the case of an approved application for deferred final exam, the student's Faculty will inform the Department responsible for the course of the approved deferred exam. The Department will then notify the instructor:
- d. Payment of the required fee will normally be made at the time of approval of the application for deferral but must be made no later than two weeks after approval. Refer to §22.2.8 for details on where to pay fees and to §22.2.12 for fees for special services.
- (3) Fall/Winter Deferred Final Exams: Within the timelines described below, the time and place of the deferred final exam will be determined by the instructor. Instructors are encouraged to include the date, time and place of a deferred exam in the course outline should one be required.
  - Fall Term Deferred Final Exams: Fall Term deferred final exams must be held by the end of Reading Week in accordance with the following provisions:
    - If the date and time of the deferred exam are included in the course outline given to students, the exam may be scheduled at any time prior to the end of Reading Week.
    - Otherwise, mutually agreeable arrangements must be made with all students eligible for the deferred exam if it is to be held prior to January 19.
    - 3) In the absence of either of the above two arrangements the instructor will submit the time and place of the exam to the department office as early as possible and no later than January 12. It is important that students come to the department to obtain this information on January 15 or as soon as possible thereafter. The exam must be scheduled between January 19 and the end of Reading Week.
  - b. Winter Term and Fall/Winter Deferred Final Exams: Winter Term and Fall/Winter deferred final exams must be held by June 30 in accordance with the following provisions:
    - If the date and time of the deferred exam are included in the course outline given to students, the exam may be scheduled at any time prior to June 29.
    - Otherwise, mutually agreeable arrangements must be made with all students eligible for deferred exam if it is to be held prior to May
    - 3) In the absence of either of the above two arrangements, the instructor will submit the time and place of the exam to the department office as early as possible and no later than May 8 (exception: students in the MD program should consult the undergraduate office for information on deadlines). It is important that students come to the department to obtain this information May 15 or as soon as possible thereafter. The exam must be scheduled between May 20 and June 30.

Students who have not been previously informed must check with the department office on the specified date to obtain the time and place of the deferred final exam.

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(4) Spring/Summer Deferred Final Exams: The time and place of the deferred final exam will be determined by the instructor who will make this information available to all students in the course.

The exam must be held within two weeks of the date of the final examination missed and not later than August 31. In the case of 13week classes in Engineering programs, the exam must be scheduled by September 14 and held prior to October 21.

- Exams Scheduled at the Same Time: If a student discovers that he or she has two (or more) deferred exams scheduled at the same time, the student shall inform both instructors as quickly as possible and at least five days before the exam date. The instructors will consult with one another and make appropriate arrangements for the student.
- Fall/Winter Deferred Exams Not Written: Students who have applied for a deferred final exam in accordance with the guidelines in this section, but who have, for justifiable cause, not written the deferred exam on the scheduled date must reapply for a second and final opportunity to write the deferred exam. Application deadlines are noted below. Students must apply at their Faculty Undergraduate (Graduate) Office. In order for an application to be considered for approval by the Faculty, it must be supported by a University of Alberta Medical Statement Form in the case of incapacitating illness or other documentation substantiating the reason for an absence. The department will determine the date, time and place of the second deferred final exam and will notify the student. The date scheduled must be no later than the end of July. Once set, the date for a second deferral is final and applications for further deferrals will not be considered or accepted.

Absence from Deferred Exam Without Justifiable Cause: When a student is absent from a deferred final exam without acceptable reason, a final grade will be computed using a raw score of zero for the final exam.

Deadline for Application: The application and the documentation pertaining to the absence must be presented to the Faculty within two working days following the scheduled date of the deferred exam missed or as soon as the student is able, having regard to the circumstances underlying the absence.

If the student receiving the privilege of writing a deferred exam does not write the exam by the scheduled deferred exam date, the privilege will be withdrawn and a final grade in the course will be recorded by the Office of the Registrar and Student Awards according to the grade/remark combination achieved by the student before the deferred final exam was granted. Prior to finalizing the student's grade, the Office of the Registrar and Student Awards will give 30 days written notice to the student's Faculty.

#### 23.6 **Academic Standing**

The term "academic standing" comprises such matters as continuation in a program, promotion, graduation, and the requirement for a student to withdraw. Unless stated otherwise, changes to academic standing regulations affect new students, continuing students, and students readmitted to a program or Faculty. Students should refer annually to the Calendar for the academic standing regulations governing their degree programs.

General Faculties Council has provided the following common academic standing categories and graduation standards for students registered in general (not honors or specialization) programs. Academic standing regulations for individual programs are given in the Faculty's description of that program - all students should consult the listing for their own program. Individual Faculties may determine the frequency and timing of academic standing reviews.

#### 23.6.1 Common Academic Standing Categories for **General Undergraduate Programs**

The records of all students are reviewed at the end of each term or twoterm period depending on the Faculty. Academic standing is determined by a student's performance over the period under review.

- (1) First-Class Standing: Awarded to a student who obtains a grade point average of 3.5 or above while enrolled in a full, normal, academic course load in that year; the definition of a full normal academic course load shall be left to the Faculty concerned.
- (2) Satisfactory Standing: Awarded to a student who obtains a grade point average of 2.0 or above and normally indicates that the student is eligible to continue. The GPA requirements for specialization and honors programs are higher.
- (3) Marginal Standing: Given to a student who obtains a grade point average of 1.7 to 1.9. Students with marginal standing may be permitted to continue

- under academic warning. Students in some programs may be required to withdraw and/or repeat the entire year if their standing is marginal.
- (4) Unsatisfactory Standing: Normally given to a student who obtains a grade point average of 1.6 or below. Students whose records are found to be unsatisfactory will be notified by their dean and they are normally required

Note: Averages in this section may be calculated as a Fall/Winter grade point average or as a cumulative grade point average depending upon Faculty regulations. Students must consult the appropriate individual Faculty sections of the Calendar for specific requirements. Rounding rules will apply (see §23.4)

#### 23.6.2 Academic Warning, Academic Probation, Required to Withdraw

- (1) Academic Warning: A Faculty decision that a student with marginal standing must meet specified course and performance standards within a set time or credit frame to maintain eligibility in the program.
- Academic Probation: A Faculty may allow a student whose previous academic record is either deficient in some respect or below the standard ordinarily required to continue on academic probation. The Faculty may require the student to meet specified course, program and performance standards to maintain eligibility in the program.
- (3) Required to Withdraw: A Faculty decision that a student with Unsatisfactory or Marginal Standing may not continue in a program.
  - a. Depending on the Faculty and on a student's GPA for the period under review, students who have been required to withdraw from a Faculty:
    - may be required to discontinue their studies for a year, after which they may apply for readmission to the Faculty; or
    - if their GPA is between 1.3 and 1.6 and they have less than ★60 in participating Faculties (see §210.5) may be allowed, on the recommendation of the Faculty, to establish satisfactory standing subsequent to having been required to withdraw by enrolling in the Fresh Start Program in Open Studies. After completing ★18 with a GPA of at least 2.7 or ★24 with a GPA of 2.0 on work done after being required to withdraw and meeting all other admission or readmission criteria, they may apply for readmission to a Faculty;
    - subsequent to having been required to withdraw, may be required to successfully complete ★18 transferable to the University with an AGPA of 2.7 or ★24 transferable to the University with an AGPA of 2.0 at another postsecondary institution, after which they may apply for readmission to a Faculty.
  - b. Students who have previously been required to withdraw from another postsecondary institution and who wish to apply to the University of Alberta must satisfy the requirements set out in §14.2.1(6).

#### 23.6.3 **University Graduating Standards**

- (1) Common Graduation GPA: For students in general programs (i.e., other than honors or specialization) the normal minimum graduation grade point average is 2.0.
- (2) Degrees with Honors: A student in the Faculty of Arts or Faculty of Science with approved qualifications may be admitted to the degree of Bachelor of Arts or Bachelor of Science with Honors by following a more concentrated course of study in a special field under the supervision of one or more of the departments. The time required for an honors degree is normally four consecutive years. Degrees with Honors are awarded in two classes: First Class Honors, and Honors, in accordance with the requirements of the Faculty and department concerned.
- Degrees with Distinction: At the discretion of the faculty concerned, the notation "With Distinction" is inscribed on the permanent record and graduation parchment of a candidate for any degree, except an honors or graduate degree, if the candidate has obtained a grade point average of 3.5 or higher over the last two years of the program.

#### 23.6.4 Scholastic Standing and Extracurricular Activities

Every student, prior to accepting nomination for any position on the Executive Committee of the Students' Union or as the Board of Governors representative, shall be required to obtain a statement of academic eligibility from the dean of his or her faculty. This statement shall be filed with his or her nomination papers.

To be eligible (1) to represent the University in any competition with outside organizations, (2) to participate in noncompetitive University activities which are open to the general public, or (3) to be eligible to hold office in a recognized student organization, a student must be a registered student taking at least one course for credit during each term and must meet the requirements of satisfactory scholastic standing as defined by the faculty.

#### 23.7 Graduation

On the recommendation of the faculty councils, degrees are conferred by the Chancellor of the University at a Convocation of the faculty, alumni, graduands, and their guests. Convocations for the conferring of degrees are normally held in early June and mid-November of each year.

Upon completion of all requirements in the program, undergraduate students wishing to receive a University of Alberta degree must apply for graduation on Bear Tracks (https://www.beartracks.ualberta.ca) not later than February 1 if they expect to have their degree conferred at the Spring Convocation ceremonies held in June, or not later than September 1 if they expect to have their degree conferred at the Fall Convocation ceremonies held in November. Students registered in programs in the Faculty of Graduate Studies and Research Research must also apply for graduation on Bear Tracks (https://www.beartracks.ualberta.ca) by the deadline dates specified in the Academic Schedule and should consult §193.13 regarding convocation requirements in graduate programs.

In those cases where more than 12 months have elapsed since the last registration in an undergraduate program in which a student subsequently applies to have a degree conferred, the student will also be required to submit an Application for Readmission or Internal Transfer form along with the required application fee, in order for the conferring of the degree to be considered. Similarly, in the case where a student is applying for a degree which differs from the program in which he or she was last or currently registered, an Application for Readmission or Internal Transfer form and fee is also required in order for the conferring of the degree to be considered. In both cases, students should note the regulations in §23.1.2 as they will be obligated to meet degree program requirements in effect at the time of readmission or transfer to the new program before the degree will be conferred.

### 23.8 Appeals and Grievances

General Faculties Council (GFC) is the University governing body which has statutory authority over academic matters and student affairs.

#### 23.8.1 Academic Appeals

GFC has instructed each Faculty to publish in its section of the Calendar the regulations which affect a student's academic standing, a notice that such decisions are appealable, and information as to where the Faculty-level appeal regulations may be obtained.

Decisions about a student's academic standing are first appealable at the Faculty level, and students should check the Faculty section of this Calendar in order to learn where to obtain their Faculty's appeal regulations.

Decisions of faculties concerning a student's academic standing are appealable to General Faculties Council, which has established an Academic Appeals Committee. GFC has delegated to this committee authority to hear appeals from students against faculty decisions which affect their academic standing. The complete regulations of the GFC Academic Appeals Committee may be obtained from the Secretary to General Faculties Council. These regulations state that an appeal may be made only on the basis that there has been a miscarriage of justice. Students are also required to exhaust the provisions for appeal at the Faculty level before taking an appeal to the GFC Academic Appeals Committee.

In addition, the GFC Academic Appeals Committee is not authorized to hear a number of matters, including an appeal from a decision respecting a mark or a grade in an individual course; from a student who was granted relief by the Faculty with written conditions and specified consequences, where the student has not met those conditions; from an examination decision made in the Faculty of Graduate Studies and Research; from a decision to refuse admission to a Faculty; or a decision relating to credit for courses taken or to be taken outside the University of Alberta.

For further information regarding the appeal procedures at the Faculty level, including deadlines for filing an appeal, students should seek the advice of their dean and should obtain a copy of the faculty appeal regulations. Students who have been unsuccessful in appealing at the Faculty level will be notified by the dean's office of the right to appeal to the GFC Academic Appeals Committee and will be provided with other related information. Students who wish to appeal to the GFC Academic Appeals Committee should immediately obtain the complete regulations and consult with the Secretary to General Faculties Council in order to learn the procedures to be followed and the deadlines to be met.

# 23.8.2 Practicum Placements, Professional Practice and the Public Interest

Students may be required to complete practicum placement as part of their academic program. A practicum placement refers to any placement (volunteer or otherwise) which places or may place the student in contact with the public. The University of Alberta's policy titled "Practicum Placements, Professional Practice and the Public Interest" (§87, *GFC Policy Manual*) addresses issues relating to student practicum placements and reflects the University's obligation to protect the significant public interest involved. Such protection consists of ensuring that students in practicum placements conform to accepted standards of safe, ethical and competent professional practice in their work with patients, clients and co-workers

To protect the public interest, the policy authorizes deans to vary, withdraw or deny the placement of a student in a practicum, balanced by the student's right to appeal the dean's decision to the GFC Practice Review Board (PRB). The policy also governs appeals of discipline decisions in respect to offences under the Code of Student Behavior (§30.3.3, GFC Policy Manual) which relate to a student's practicum. In other words, the PRB hears appeals from students whose academic standing is affected by a variance in, withdrawal from, or denial of a practicum placement, and appeals of disciplinary decisions related to a practicum placement.

For information regarding the PRB and the appeal process, students are advised to contact the Secretary to General Faculties Council, University Secretariat, 2-5 University Hall. Copies of §87 (Practicum Placements, Professional Practice and the Public Interest), §1 (Academic Appeals Policy), and §30 (Code of Student Behavior) of the *GFC Policy Manual* may be obtained from the University Secretariat. Students may refer to \$30 of the *GFC Policy Manual*, which also contains the full Code of Student Behavior.

**Note:** Students charged with offences under the Code of Student Behavior which are not related to a practicum placement can appeal decisions of the dean and/or Discipline Officer to the University Appeal Board (§30, *GFC Policy Manual*). Students wishing to appeal decisions regarding academic standing issues not related to a practicum placement can appeal such decisions to the GFC Academic Appeals Committee (§1, *GFC Policy Manual*).

#### 23.8.3 Requirement for Criminal Record Checks

The Protection for Persons in Care Act (proclaimed January 1998) includes a requirement that persons working or volunteering in designated agencies (hospitals, nursing homes, lodges, group homes, etc.) must provide results of current criminal record check (also know as a security clearance check). Accordingly, students serving work experience placements in the form of internships, clinical practica, academic practica, cooperative program work placements, etc. in any of these designated agencies may be required to obtain a criminal record check from the appropriate law enforcement agency and/or the Solicitor General's office. A fee to obtain this check may be charged (see §22.2.3 Miscellaneous Fees). This fee will be determined by the law enforcement agency or Solicitor General's office. Details on whether or not a criminal record check is needed and the process to obtain this check are available from the Faculty or department which sponsors the placement.

Students who are entering a program which requires this type of placement and who have concerns related to their ability to satisfy a criminal record check should consult with their Faculty or department program office immediately upon being admitted to the program. Although faculties and departments will attempt to assist students seeking placements, the ultimate responsibility for ensuring that required placements can be made lies with the student.

#### 23.8.4 Grievances Concerning Grades

The assignment of marks and grades is the initial responsibility of a course instructor. Any grievances concerning grades should first be discussed with the instructor. If the problem is not resolved, the student is encouraged to talk with the chair of the department where the course is taught, and then with the dean of the Faculty where the course is taught.

This informal process is meant to precede and to avoid formal appeal, but does not preclude formal appeal to the faculty council. Students should contact their Faculty office for complete grade appeal procedures and deadlines in order to learn the procedures which must be followed and the deadlines to be met. Students who are unsure of the validity of their grievance should contact the Student OmbudService.

### 23.9 Student Records

#### Definitions

In §23.9.

 "collect" refers to the collection of a student's personal information by or for the University, whether the information is collected directly from the

- individual or from another source (e.g. a person or organization internal or external to the University);
- (2) "confidential" refers to materials which have been solicited in confidence with the student's consent:
- (3) "consent" is written permission from a student for the collection, use or disclosure of personal information, including confidential materials. Such permission is generally based on the student's awareness of the type of material maintained in a record bearing the student's name;
- (4) "personal information" is recorded information about an identifiable individual who is a student, including: name, home or business addresses or telephone numbers; age, sex, national or ethnic origin, religious or political beliefs, marital status or family status, sexual orientation; identifying number, symbol or other particular assigned to the student; information about the student's health and health care history including information about a mental or physical disability; information about the student's educational, financial, employment or criminal history; someone else's opinions about the student; the student's personal views or opinions, except if they are about someone else's.
- (5) "student" means anyone who has interaction with the University related to a personal potential or actual course of studies, credit or non-credit, and includes
  - prospective students who inquire about studies at the University or who are approached through recruitment efforts;
  - applicants who apply for admission to a program or course of studies, whether admitted or not;
  - registrants who register in a program, course, or other course of studies, credit or non-credit
  - those who have previously interacted with the University as prospective students, applicants, or registrants and who still have records at the University related to these interactions.
- (6) "student record" means a record of information relating to an individual's activity in or interaction with the University as a student. Such records may contain personal information related to the student's interactions with the University. The information may be maintained in any format, including printed, audio, visual, electronic, magnetic and may be stored on any medium including paper, fiche, tape, disk or other electronic or magnetic medium. Student records are normally of three types: files, transactions, and listings, as follows:
  - files include academic files maintained by the Registrar (including transcripts), a dean or a chair; academic files maintained by academic advisors and other offices; financial records; disciplinary records; files used to document activities related to University business (athletics, housing, parking, committees and other governance bodies, ONEcard, Campus Security, counselling and medical services, etc..); files used to document processes initiated pursuant to University policy (appeals, grievances, reappraisal, etc.);
  - transactions involve documents, messages and the like, transient or permanent, which pertain to a student including e-mail messages, voice mail messages, memos, letters, notes, minutes or transaction records of meetings, hearings, selection proceedings, Internet sites;
  - listings are lists or compendiums involving students' information including mailing or membership lists of committees, councils boards or groups, examination postings, scholarship, bursary or award lists, lists of convocants, degree recipients and the like including photographic displays, alumni lists, statistics compiled for disciplinary and appeal procedures.
- (7) "Unit" means a basic organizational unit of the University responsible for academic or research functions (e.g. department, Faculty) or administrative or financial functions (e.g. Office of the Registrar and Student Awards, Financial Services) or service functions (e.g. Health Centre, Dean of Students' Office).

#### 23.9.1 Collection of Personal Information

Information that forms part of the student record is collected under the authority of the Universities Act of the Province of Alberta and in accordance with section 33(c) of the Freedom of Information and Protection of Privacy Act (FOIPP Act). It is used to determine eligibility for admission and financial assistance, to advise students about academic programs and to provide university services.

The student record is disclosed to academic and administrative units. Specific information is disclosed to the federal and provincial governments to meet reporting requirements and to the Students' Union/Graduate Students' Association in accordance with FOIPP Information Sharing Agreements.

#### 23.9.2 Academic History (Transcript) Records

- Students should be aware that their academic record (transcript) is a continuing one and that all matters relating to courses, grades, academic standing, and probation will permanently appear on the academic record.
- (2) Students are responsible for ensuring the accuracy and completeness of their official record by verifying their unofficial transcript on Bear Tracks (https://www.beartracks.ualberta.ca). Students should be aware that only the official academic history (transcript) is retained permanently and that source information from the student file is destroyed seven years after the last registration. Queries regarding errors or omissions in the official academic history (transcript) must be made as soon as possible, and will not be considered after the source information has been destroyed.
- (3) Official academic history records, called "transcripts" are issued by the Office of the Registrar and Student Awards only upon the request of the student. They are issued to the student personally or to whomever the student designates. An official transcript bears the signature of the Registrar and is printed on security paper.

Students and alumni may request transcripts in person at the Student Access Centre, main floor Administration Building, or may send a written, signed request via mail, fax or designate.

- (4) The University of Alberta transcript includes the following information for each student record:
  - a. student name
  - b. student previous name(s)
  - c. student ID number
  - d. student's birth month and day
  - e. date of issue of transcript
  - . degrees and awards granted
  - g. admission status and basis of admission
  - h. credit granted from other institutions or programs
  - i. Faculty and degree program of registration
  - j. course information, including for each course: term, course name, number, title, grade, units of course weight, grade points, class average and class enrolment
  - k. total units of course weight and grade points used to calculate GPA
  - I. grade point average calculation
  - m. comments pertaining to academic standing in the program
  - n. withdrawal date, if appropriate
  - disciplinary record of suspension or expulsion or rescission/suspension of a degree, if appropriate.
- (5) For further information regarding grades or GPA, refer to \$23.4, Evaluation Procedures and Grading System
- (6) Units of Course Weight: Course weight is assigned for the purpose of calculating a weighted (grade point) average. A normal course carries a weight of 3.0 and includes three hours of lecture per week for one term. A course weight of 3.0 may be considered as equal to three semester-hours or 4.5 quarter hours. [This information is captured and stored on a database on a per course basis but is not printed on the transcript.]
- (7) Grade Points: Grade points for undergraduate students are calculated by multiplying the course grade point value by the units of course weight.
- (8) An unofficial copy of a student's academic history (unofficial transcript) does not bear the Registrar's signature, nor is it printed on security paper. Unofficial copies of a student's academic history are issued in the form of:
  - Statements of results issued to students at the end of the Fall/Winter or Spring/Summer terms;
  - Student's unofficial transcript available on Bear Tracks (https://www.beartracks.ualberta.ca);
  - Copies of students' academic history issued to Faculties, departments, or academic advisors as appropriate for consideration for admission, academic standing and promotion and for the academic advisement of students;
  - d. Copies of students' academic history issued at the students' request to other offices or individuals in the University;
  - e. Copies of students' academic history issued to the appropriate administrative office where the adjudication or processing of an award or bursary made through a University scholarship or bursary committee is involved. Administrative offices include the Office of the Registrar

and Student Awards, the Scholarship Office of the Faculty of Graduate Studies and Research, and the Student Financial Aid Information Centre

#### 23.9.3 Registration Deadlines Implication for Records

- (1) In Fall/Winter students have ten class days following the commencement of that term's classes in which to make changes to their registration without penalty. Courses dropped during this period are deleted entirely from a student's record. Course withdrawals following this period and up to the last day for withdrawing from courses for that term result in a grade of W (withdrew) being recorded on the student's record. Grades of W are not included in the calculation of the Grade Point Average.
- (2) Students may not officially withdraw from a course after the Withdrawal Deadline. All students registered in a course after the Withdrawal Deadline will be assigned a final grade by the instructor based on course work completed.
- (3) Credit/No Credit courses which are dropped following the deadline for the last day for withdrawing from courses for that term result in a grade of NC (fail) being assigned for the course(s) by the student's Faculty. Grades of NC are not included in the calculation of GPA.
- (4) When a student withdraws from one or more courses after the first week of classes, fees will be reassessed on a per course basis according to the regulations noted in §22.2.9 of this Calendar. Students who register and then cease to attend, or never attend, without submitting an approved Withdrawal Form will not be eligible for any refund of fees nor will they be exempt from paying assessed fees that are unpaid.
- (5) §11 (Academic Schedule) of this Calendar contains the specific dates for the change of registration and course withdrawal dates noted above.

#### 23.9.4 Access to Student Information

- (1) Access by the Student: Unless otherwise prohibited by the Freedom of Information and Protection of Privacy Act, upon request, a student shall have access to any material from a record maintained by any University Unit which bears the student's name or other personal identifier. Confidential materials shall be restricted to materials which have been solicited in confidence with the student's consent (for example, confidential letters of reference).
- (2) Access by University Employees: U of A employees may access only that personal information in students' records which is required to fulfill their employment duties.
- (3) Access by Others:
  - a. Except as noted below, personal information will not be disclosed to others as provided by the FOIPP Act and without the written consent of the student or in accordance with an Information Sharing agreement or Research agreement as provided by the FOIPP legislation.
  - b. The following information is defined as the student's public record: name; Faculty of registration; dates of registration or convocation; and degree, diploma or certificate awarded. This information may be issued to third parties (such as other educational institutions, appropriate government agencies, or prospective employers) on a need to know basis.

#### 23.9.5 Records of Disciplinary Action

See §30.2.15 of the GFC Policy Manual.

#### 23.9.6 Correction of Records

Students should request correction to their own records if they believe that there are errors or omissions. The head of the Unit maintaining the record will respond by either making the correction or annotating in the record that the correction was requested but not made.

When an error or omission is detected in a student record and that error or omission is subsequently corrected, a copy of the corrected record shall be provided to the student and to each individual or agency to which the university had directly issued an incorrect record.

#### 23.9.7 Use of Student Records for Research

Access to and Use of Student Records and Information for Research by Associations, Organizations and Individuals (including access by other students):

Where access to records of the University of Alberta is sought for the purpose of research, such access may be granted by the Executive Committee of GFC on such terms as may be necessary to ensure compliance with the FOIPP Act and the University of Alberta Standards for the Protection of Human Research Participants (See §66 of the GFC Policy Manual).

Procedures to be followed by those who apply to the Executive Committee for release of student information for research purposes:

- (1) Applicants requesting access to student information must complete and submit to the FOIPP Coordinator a Proposal to Access Personal Information for Research and Statistical Purposes and to the Chair of the University Committee on Human Research Ethics (UCHRE) the appropriate research ethics review forms (copies available, respectively, from the FOIPP Coordinator and the Chair, UCHRE).
- (2) Such materials shall be reviewed by the FOIPP Coordinator to ensure compliance with the FOIPP Act and by the UCHRE (or other UA research ethics board as delegated by the UCHRE) to ensure compliance with the University Standards for the Protection of Human Research Participants.
- (3) The proposal for use of student information will be forwarded to the GFC Executive Committee with all relevant documentation together with action recommendations by the FOIPP Coordinator and Chair of the UCHRE.
- (4) If approved by the GFC Executive, the FOIPP Coordinator and the Chair of UCHRE will develop and forward to the applicant a Research Agreement embodying appropriate terms and conditions.
- (5) Once the applicant signs and returns the Research Agreement, the Vice-President (Academic) and Provost will sign the Agreement, and the records will be released to the applicant.

Policy Governing Access to Individually Identifiable Student Records for Research:

- a. Student records that have been in existence for 75 years or more or that concern an individual who has been dead for 25 years or more are open for research.
- b. Student records which have been in existence for more than 25 years and less than 75 years may be released for research only upon consent from the student or the student's estate, upon the completion of a research sharing agreement as noted in the procedures above, or in accordance with Section 41 or 41 of the Freedom of Information and Protection of Privacy Act.
- c. Student records which have been in existence for less than 25 shall be released with the consent of the student, upon completion of a research agreement or in accordance with Section 40 or 41 of the FOIPP Act.

#### 23.9.8 Letters of Reference and Assessments of Students

#### (1) Letters of Reference

The contents of letters of reference collected implicitly or explicitly in confidence with the consent of the student, for the purposes of determining admission to a program or for the granting of an award, may be revealed to the student in accordance with the *Freedom of Information and Protection of Privacy Act*.

Letters of reference will be used only for the express purpose(s) for which they have been supplied.

Letters of reference will be retained for at least one year.

#### (2) Assessments of Students

Assessment information contained in a student's record may only be divulged by an administrative officer of the University to third parties (such as institutions, agencies or prospective employers) with the student's consent.

When asked by such institutions, agencies or prospective employers to express an opinion concerning a student's academic ability, character and personality, a faculty member may do so only with the consent of the student, in which case, a record of the opinion so expressed will be retained for a minimum of one year by the faculty member.

- (3) Reports from University Health Centre and Student Counselling Services
  - a. Where students have gone on their own initiative or have been referred by an authorized officer of the University to either University Health Centre (as patients) or to Student Counselling Services (as clients), the contents of the students' files are private, and will be protected under the FOIPP Act and in accordance with professional ethics or codes of behaviour.

- b. The diagnosis, assessment, or findings contained in any reports or files written by professional consultants at the University Health Centre or Student Counselling Services will normally be reported to an authorized officer of the University or to a specified authority outside the University only if the student gives written consent to do so. It is recognized that the nature and content of any report provided by the consultant will be determined by the ethics and codes of behaviour of the consultant's profession, or as otherwise required by law and will be protected by the FOIPP Act.
- c. Exceptions to the restricted reporting guidelines outlined in b. above will only occur in situations where, in the opinion of the professional in question, failure to disclose relevant information could reasonably be expected to threaten the health or safety of the student or a third party.

#### (4) Reports From Other Counselling Services

In addition to the counselling services provided by the University Health Centre and Student Counselling Services, a large number and variety of other University Units offer counselling to students as part of their operating practice. Any student records created or maintained by these Units must be in compliance with the regulations set out in GFC policy and in the Freedom of Information and Protection of Privacy Act.

#### 23.9.9 Objections to Release of Information

Students who object to the release of information regarding their records in accordance with the policies stated in §23.9 should notify the Registrar in writing, giving the specific objection. Appropriate action will be taken by the Registrar who will so advise the student.

The Freedom of Information and Protection of Privacy Act provides for disclosure of personal information for the purposes of complying with an enactment of Alberta or Canada. It also allows for an appeal to the Information and Privacy Commissioner of any decision relating to the release of personal information.

# 23.9.10 Overdue Accounts: Withholding of University documents and Denial of Registration

In any case where a student has neglected or refused to pay amounts owed to the University, the Registrar has been empowered and directed by the Board of Governors to deny or terminate registration and withhold their official transcript and/or degree parchment, until the student has settled the account with the University or has made arrangements satisfactory to the University to do so. For example, the degree parchment, or official transcript, may be withheld or registration denied if the student owes the University for library penalties or fines; for purchases at the University Bookstore; for parking or traffic violations; for breakage or damage to laboratory facilities or supplies; for student fees and University of Alberta Emergency Student Loans; for room and board fees; for fees for reexamination or deferred final examinations; or for fines imposed by the Discipline Officer or the University Appeal Board.

For overdue accounts a penalty of 18% per annum will be applied to the outstanding balance at the end of each month.

Delinquent accounts will be reported to the Credit Bureau and referred to a collection agency for recovery.

24 Reserved

### 25 Student Services

### 25.1 Office of the Dean of Students

5-02 SUB; phone (780) 492-4145

www.uofaweb.ualberta.ca/studentservices

The Dean of Students plays an important role in defining and maintaining a supportive and respectful environment at the University of Alberta. In fulfilling this mandate, the Office of the Dean of Students:

- Provides overall support and administration for University Student Services (see §25.2)
- Offers assistance to students who are experiencing challenges with University life
- Supplies information on appeals, grievances, and University policies regarding students

- Registers and regulates student clubs and associations on campus in conjunction with the Students' Union Student Group Services and
- Administers the Truth In Education (TIE), Fresh Start, and Extracurricular Activity (SEA) programs

### 25.2 University Student Services

www.uofaweb.ualberta.ca/studentservices

At University Student Services our goal is to provide students with the resources to achieve their full potential, both within the university community and in society as a whole. We are dedicated to supporting the academic and personal endeavours of every student through advocacy, leadership and partnership. Our services include:

#### **Aboriginal Student Services Centre**

2-400 SUB; phone (780) 492-7771

www.uofaweb.ualberta.ca/aboriginalservices

- Academic counselling, recruitment, orientation, and retention services
- · Support network, Aboriginal housing, computer lab, and advocacy

#### Academic Support Centre

2-703 SUB: phone (780) 492-2682

www.uofaweb.ualberta.ca/academicsupport

- Non-credit classes, workshops, tutorials and consultations on effective writing and learning strategies
- Testing Services

#### **Career and Placement Services (CaPS)**

2-100 SUB; phone (780) 492-4291

www.ualberta.ca/caps

- Comprehensive programs, services and resources for career planning, work search, resume/curriculum vitae and cover letter writing, and job interviews
- · Job opportunities for part-time, summer and graduate employment

#### **Math and Applied Sciences Centre**

126 Chemical Materials Building; phone (780) 492-6272

www.uofaweb.ualberta.ca/MASC

- · Mathematics, physics, and statistics support for all students
- Exam preparation in a variety of courses

#### Residence Services

44 Lister Centre; phone (780) 492-4281

www.uofaweb.ualberta.ca/residences

- Provides a wide assortment of student housing options in a living/learning environment
- · Programming and support for students living in residence

#### **Sexual Assault Centre**

2-705 SUB; phone (780) 492-9771

www.uofaweb.ualberta.ca/sac

- Support for female and male survivors of sexual assault or stalking, and their supporters
- Sexual assault education programs and boundaries and assertiveness workshops

#### **Specialized Support and Disability Services**

2-800 SUB; phone (780) 492-3381 (voice), (780) 492-7269 (TTY) www.uofaweb.ualberta.ca/SSDS

- Assistance for students, as well as faculty and staff, with conditions affecting mobility, vision, hearing, learning and physical or mental health
- Support services including exam accommodations, provision of course materials in alternate formats, note-taking assistance, and training in adaptive technology

#### **Student Counselling Services**

2-600 SUB; phone (780) 492-5205

www.uofaweb.ualberta.ca/counselling

- Professional counselling (chartered psychologists) and group therapy programs
- Vocational and personality testing (career, educational and interpersonal applications)

#### Student OmbudService

(a collaborative service with the Students' Union and the Graduate Students' Association)

5-02 SUB; phone (780) 492-4689

www.uofaweb.ualberta.ca/OmbudService

- Mediation and assistance with grade, academic standing, disciplinary and Practice Review Board appeals
- Information and referrals on University policies affecting students

#### **University Bursaries and Emergency Funding**

(part of the Student Financial Resources Centre operated in conjunction with the Students' Union and the Office of the Registrar and Student Awards)

1-80 SUB; phone (780) 492-3483

www.uofaweb.ualberta.ca/ubef

- Administers the University's bursary and emergency student loan programs
- Provides financial information and counselling

#### **University Health Centre**

2-200 SUB; phone (780) 492-2612

www.uofaweb.ualberta.ca/healthcentre

Note: Patients are required to present their OneCard and health care card

- A walk-in health care facility available to students, their immediate family and University staff
- Provides primary medical, nursing, pharmacy, nutritional and preventative care to the University community

### 25.3 Students' Union Student Services

#### **Campus Food Bank**

0-40J SUB; phone (780) 492-8677

www.ualberta.ca/~foodbank

The Campus Food Bank is a registered charity that distributes food hampers, toiletries and poverty reduction/advocacy referrals to any member of the University of Alberta community in financial distress. The Campus Food Bank is governed by a Board of Directors representing our community and is funded in large by the SU and GSA so that 80% or more of monetary donations go directly to food relief

#### Centre for Student Development\*

0-26 SUB; phone (780) 492-4086

www.su.ualberta.ca/su/businesses\_and\_services/csd

The Centre for Student Development houses a number of programs all designed to provide students with the tools they require to be successful in their transition to, through, and beyond the University of Alberta. These include: Orientation, My Undergraduate Groups (MUGs), Campus Ambassadors, The First Year Initiative (FYI), From Backpack to Briefcase (Senior Year Orientation), The Academic Guidance Centre. The Centre is open 9:00 am to 3:30 pm, Monday through Friday.

\*A new initiative of the Students' Union in partnership with the Dean of Students' Office.

#### **ECOS: Environmental Coordination Office of Students**

0-30S SUB, (780) 492-7134

www.su-ecos.ca

ECOS is a service of the Students' Union providing educational and volunteer opportunities for students to learn about the campus environment and help improve it through their efforts. ECOS further strives to connect the diverse groups on campus through shared interests and work cooperatively to continually improve the campus environment.

#### **Information Services**

Suite 0-26 SUB; phone (780) 492-4212

www.su.ualberta.ca/su/businesses\_and\_services/infoserv

Information Services provides information and resources to students in a wide range of areas. In addition to information desks in SUB, HUB, ETLC and CAB, Information Services provides: Online Exam Registry, Off-Campus Housing Listings, Tutor Listings, Used Book Listings, Ride Board and Car Pool Listings and Volunteer Opportunity Listings. To find out the latest information or use any of the listings visit our website.

#### **Postal Outlet**

142C, Main Floor SUB

The Postal Outlet operates in concert with Canada Post and offers a variety of services to students, staff and the general public including Stamp Sales, Faxing, Postal Box Rentals, Priority Courier, Xpress Post, Money Orders and Moneygram money transfers. The Postal Outlet is open from Monday-Friday from 9:00 am-5:00 pm.

#### Safewalk

0-30E SUB; phone (780) 492-5563

 $www.su.ualberta.ca/su/businesses\_and\_services/safewalk$ 

Safewalk is a volunteer-based service that provides the campus community with an alternative to walking alone after dark. To use Safewalk, call 4-WALK-ME (492-5563) and a co-ed team will be dispatched to meet you and accompany you to your destination.

#### **Student Distress Centre**

0-30N SUB: phone (780) 492-4357

www.su.ualberta.ca/su/businesses\_and\_services/student\_distress\_centre

The Student Distress Centre is available for anyone who is distressed or experiencing a crisis of any kind. Student volunteers provide confidential and non-judgmental support. They are trained in supportive listening, crisis intervention, suicide prevention, information and referral.

#### Student Financial Aid Information Centre (SFAIC)

1-80 SUB; phone (780) 492-3483

www.su.ualberta.ca/su/businesses and services/sfaic

The Student Financial Aid Information Centre offers students assistance with loan program queries and all other funding questions. The Centre is located in the Student Financial Resources Centre in SUB.

#### **Student Group Services**

0-40W SUB; phone (780) 492-9789

www.su.ualberta.ca/su/businesses\_and\_services/student\_groups

Groups represent the diverse cultural, political, and recreational interests of students. Registered groups are eligible for various privileges from the Students' Union, including grants and loans, office and meeting space, mail boxes, publicity, and seminars.

#### **Student Ombud Service**

5-02 SUB; phone (780) 492-4689

www.uofaweb.ualberta.ca/OmbudService/

The goal of the Student OmbudService is to ensure fairness across any and all processes that occur within the University of Alberta. These include, but are not limited to academic appeals, discipline appeals as well as interpersonal conflict resolution.

#### Students' Union Access Fund

1-80 SUB; phone (780) 492-3483

www.su.ualberta.ca/su/businesses\_and\_services/accessfund

Each student who is a member of the Students' Union pays into the Access Fund, as per a 1995 referendum. The Fund is disbursed to those University of Alberta students who are in greatest need.

### 25.4 Augustana Faculty Student Services

www.augustana.ca

The following student services are offered on the Augustana Campus in Camrose. For detailed information, see www.augustana.ca/student\_life/ or contact the office below:

#### **Student and Residence Services Office**

Room F220, Faith and Life Centre; phone: (780) 679-1163;

e-mail: studentservices@augustana.ca

This office also coordinates with University Student Services located in Edmonton to provide resources such as Career and Placement Services (CaPS) and Specialized Support and Disability Services (SSDS) at Augustana.

#### **Campus Ministry**

The campus ministry is an integral part of the Augustana community. Rooted in the Lutheran tradition, it is ecumenical in scope as it accompanies the community on a pilgrimage of educating the whole community - body, mind and spirit.

#### **Health Service**

 Offered by the staff of a medical clinic and St Mary's Hospital, both near campus

#### **Pastoral Counselling**

- The Campus Chaplain is available to all students, faculty and staff to provide emotional, spiritual and relational direction and counsel.
- Campus Chaplain's Office: second floor, Faith and Life Centre, Room 219

#### **Personal Counselling**

The Personal Counselling Centre services include:

- individual and group counselling to work through issues
- support through difficult experiences and transitions
- · help integrating intellect, emotions and spirit

#### **Augustana Students' Association**

- provides social and educational events through our programming,
- represents and advocates on your behalf to the Augustana Faculty administration, and
- ensures a wide range of value-based services, including used books.

#### Augustana Athletics

Augustana Vikings teams comprise student athletes from many disciplines and compete in:

- the Alberta Colleges Athletic Conference (ACAC) in Basketball (M/W), Cross-Country Running (M/W), Cross-Country Skiing (M/W), Curling (M/W/Mixed), and Ice Hockey (M).
- the Alberta Colleges Athletic League (ACAL) in Volleyball (M/W).
- · numerous provincial and national competitions in Biathlon (M/W).

### 25.5 Office of Human Rights

289 CAB; phone (780) 492-7325 (voice and TTY); www.ualberta.ca/~hurights

The Office of Human Rights exists to assist the University of Alberta in providing a fair, open and supportive environment for its students and its staff. The office offers a confidential advisory service for members of the University community whose own work or study is being impaired through the disrespectful, discriminatory or harassing behaviors of others. The office also coordinates the University's Employment Equity Initiatives.

### 25.6 Library Services

The University of Alberta Library contains over 10 million volumes. The main collections are housed in the Cameron, Rutherford, Law, and Education Buildings, the Walter C Mackenzie Health Sciences Centre, Faculté Saint-Jean and Augustana. Students are subject to fines for overdue materials according to the *Library Fines Policies*, available at all circulation desks.

For detailed information on the University of Alberta Library System see www.library.ualberta.ca

#### 25.7 Residence Services

Residence Services offers students various residence communities where academic and personal growth are supported and encouraged. Lister Centre, the residences at Augustana Faculty, Résidence Saint-Jean and International House are furnished, dormitory style residences. Michener Park, HUB, Newton Place and East Campus Village feature unfurnished apartments, townhouses and houses available on a monthly basis. Students are assigned to live in a residence based on student type. For complete information on residences, assignment preferences, rates and to apply online to live in residence, see www.uofaweb. ualberta.ca/residences or phone (780) 492-4281 or toll free at 1-800-615-4807.

### 25.8 Bookstores

The University maintains a large and modern Bookstore in the Students' Union Building. The Bookstore offers new and used textbooks; class materials and supplies; and a comprehensive selection of current best sellers, reference books and nonfiction books. The Bookstore also offers an extensive selection of crested clothing, gifts, and home accessories; and educationally-priced computer hardware and software, plus accessories in the MicroStore. See www.bookstore. ualberta.ca or phone (780) 492-4215 or toll free 1-888-933-9133.

#### **SUBtitles**

142A, Main Floor SUB

SUBtitles offers a variety of services to students, staff and the general public including the sale of used textbooks, year-round consignment and buyback of textbooks, U of A crested merchandise (clothing, giftware etc.), custom screen printing and embroidery as well as picture, poster, degree framing.

SUBtitles is open Monday to Friday from 9:00 am to 5:00 pm and Saturdays from 11:00 am to 4:00 pm.

May to August 9:00 am to 4:30 pm Monday to Friday.

### 25.9 Printing and Duplicating Services

#### **McCallum Printing Group Inc.**

McCallum Printing operates a printing and duplicating service for the University community. Students may access McCallum's services, including desktop publishing, disk conversion, text scanning, duplicating, printing, and binding. The McCallum Printing Group office on campus is at 2-50 Cameron Library. Satellite copy centres are located at B-08 Cameron Library, TB29 Tory and B-105 Education South. For information on printing, duplicating, and thesis binding, call (780) 492-9491.

#### **Student's Union Print Centre**

0-21 SUB; phone (780) 492-9113

www.su.ualberta.ca/su/businesses\_and\_services/print\_centre

The Students' Union Print Centre offers a full range of printing and to suit your needs. With full-service digital printers, the Print Centre can produce just about any document that you require, in both small and large quantities. Services

available include photocopying, digital printing, binding, and custom-designed course packs.

Print Centre is open from 9:00 am to 5:00 pm, Monday to Friday.

# 25.10 Academic Information and Communication Technologies

Academic Information and Communication Technologies (AICT) provides information technology solutions to support the University of Alberta's teaching and learning, research, administrative, and public service goals. AICT supports general-purpose and high-performance computing tools for campus wide use. Additionally, the department provides technology support for classrooms, smart classrooms and instructional computer labs.

AICT issues Campus Computing IDs (CCIDs) to anyone that submits an application for admission to the University of Alberta and to all registered University of Alberta students, and faculty and staff. Visit www.ualberta.ca/ HELP/ids/ for more information on services that can be accessed using your CCID. AICT staffs a Help Desk in 302 General Services, in Knowledge Commons and an Electronic Help Desk to assist clients. The use of Campus Computing IDs is subject to the Conditions of Use and all other ethical and legal policies and guidelines established by the University.

For more information on AICT see www.ualberta.ca/AICT or call the AICT Help Desk at (780) 492-9400.

### 25.11 Childcare Facilities

For the most current information on childcare facilities in the proximity of the University, see www.ualberta.ca/childcare

### 25.12 Campus Recreation and Athletics

#### 25.12.1 Campus Recreation Program

Students and staff in the University of Alberta community have the opportunity to participate in a diverse offering of recreational and sport activities.

These include intramural sports (recreational, competitive, individual and team) group fitness (aerobics, Pilates, yoga, etc.); personal training and nutrition counselling; instructional classes (sport, martial arts, first aid, dance, curling, tennis, etc.,); indoor climbing (instructional and recreational); activity clubs (recreational and competitive) and aquatics (instructional and recreational).

The Faculty of Physical Education and Recreation offers access to the Van Vliet Centre for recreational and sport activities for all students with a valid ONEcard. Family and staff memberships are available. The Centre includes two swimming pools, a fitness centre, indoor track, gymnasiums, racquetball and squash courts, an indoor climbing wall, and dance studios. Other facilities include the Clare Drake Arena, South Campus - home to Foote Field and the Saville Sports Centre, outdoor fields including the new artificial turf Lister Field and the availability of equipment for many activities. Visit www.campusrec. ualberta.ca to be active for life.

#### 25.12.2 Varsity Athletics Program

The interuniversity athletic program at the University of Alberta provides diversified competitive opportunities, skilled and qualified coaching, and outstanding facilities for students with superior athletic abilities. The program develops and uses these talents in high-calibre organized competition with students of similar ability across Canada and internationally.

Varsity athletic programs include: basketball (M/W), cross-country (M/W), field hockey (W), football (M), ice hockey (M/W), rugby (W), soccer (M/W), swimming (M/W), tennis (M/W), track and field (M/W), volleyball (M/W), wrestling (M/W). For more information on the Varsity Athletics Program, see bears.ualberta.ca

### 25.13 Transportation and Parking

Parking on campus is governed by the Parking and Traffic Regulations found at www.ualberta.ca/PARKING/

#### Student Parking

A limited amount of space is made available for student parking which is reserved via an online process. For more detailed Information see the Parking Services web site at www.ualberta.ca/PARKING/

NIVERSITY OF ALBERTA

Additional Information maybe obtained from Parking Services by phone 492-PARK (7275) or in person at C103 Lister Centre (116 St and 87 Ave) or www. ualberta.ca/PARKING/

### 25.14 Bursary Information

University of Alberta students may apply for bursaries based on financial need and satisfactory academic standing. Bursary funding is Intended to provide financial aid to students experiencing a financial shortfall In the current academic year. They are designed to supplement students' existing funds and are not intended to fully fund students' education. Bursaries are usually issued after a student has exhausted all other funding options. Applications for bursaries are available online each year from December 1 to January 15 at the University Bursaries and Emergency Funding (UBEF) website: www.uofaweb. ualberta.ca/ubef

### 26 Code of Student Behaviour

It is the student's responsibility to be familiar with, and adhere to, the terms of the University of Alberta's Code of Student Behaviour. Included in this Code are descriptions of unacceptable behaviour for students in the University, the sanctions for commission of the offences, and explanations of the complete discipline and appeal processes.

The Code of Student Behaviour, as set out in the *General Faculties Council* (*GFC*) *Policy Manual*, is provided in its entirety in Appendix A of the print Calendar or electronically on the University Secretariat website at www.uofaweb.ualberta.ca/secretariat/studentappeals.cfm

Amendments to the Code of Student Behaviour occur throughout the year. For the most current version of this Code, visit the University Secretariat website at www.uofaweb.ualberta.ca/secretariat/studentappeals.cfm or contact the University Secretariat for information concerning amendments approved by GFC since this copy of the Code was published.

## 27 Code of Applicant Behaviour

It is the responsibility of those applying for admission or readmission to the University of Alberta to be familiar with the terms of the University of Alberta's Code of Applicant Behaviour.

The procedures relate only to individuals who are not registered students at the time of application or who do not become registered students. Individuals who are University of Alberta students at the time of application or are former students or become students and, after admission and completion of registration are found to have been dishonest during the admission or readmission process, may be charged under the Code of Student Behaviour. See §26 preceding, or Appendix A – §30, General Faculties Council (GFC) Policy Manual.

The Code of Applicant Behaviour, as set out in the *General Faculties Council (GFC) Policy Manual* is provided in its entirety in Appendix B of the print Calendar or electronically on the University Secretariat website at www.uofaweb.ualberta.ca/secretariat/studentappeals.cfm